

13th ANNUAL MDEC CONFERENCE
Sheraton Parkway, Toronto North, Canada
October 1 – 5, 2007



MDEC SHORT COURSE ON
ENGINE AND DPF TECHNOLOGY

PRESENTED BY: KUBOTA, ECS, DCL & CEP

COORDINATED BY: MAHE GANGAL, NRCan

OCTOBER 2, 2007



Diesel Workshop

MDEC Short Course on Engine and DPF Technology

Sheraton Parkway, Toronto North
Ontario, Canada

Markham Room

Tuesday, October 2, 2007

08:00 – 08:30	Registration & Gathering (Coffee available)
08:30 – 10:00	Welcome (Mahe Gangal, Co-chair MDEC Conference) Engine Technology <ul style="list-style-type: none">• Combustion Fundamentals, Fuels, & Emissions (John Baxter, Kubota Canada Ltd)
10:00 – 10:30	Coffee Break
10:30 – 12:00	Engine Technology <ul style="list-style-type: none">• Service & Maintenance (Walter Steffler, Kubota Canada Ltd)• Discussion & Conclusion
12:00 – 13:00	Lunch (Markham Room)
13:00 – 14:30	DPF Technology <ul style="list-style-type: none">• Fundamentals (Glen Prisciak, DCL International Inc.)• Selection & Sizing (Ted Tadrous, Engine Control System Limited)
14:30 – 15:00	Coffee Break
15:00 – 16:30	DPF Technology <ul style="list-style-type: none">• Retrofit & Operation (Don Malgast, Engine Control Systems Limited)• Maintenance (John Stekar, Catalytic Exhaust Products Limited)• Discussion & Conclusion



Diesel Workshop

MDEC Short Course on Engine and DPF Technology

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Ontario, Canada

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Section 2	Engine Technology - Service & Maintenance (Walter Steffler, Kubota Canada Ltd)
Section 3	DPF Technology - Fundamentals (Glen Prisciak, DCL International Inc.)
Section 4	DPF Technology - Selection & Sizing (Ted Tadrous, Engine Control System Limited)
Section 5	DPF Technology - Retrofit & Operation (Don Malgast, Engine Control Systems Limited)
Section 6	DPF Technology - Maintenance (John Stekar, Catalytic Exhaust Products Limited)

Workshop MDEC - 2007

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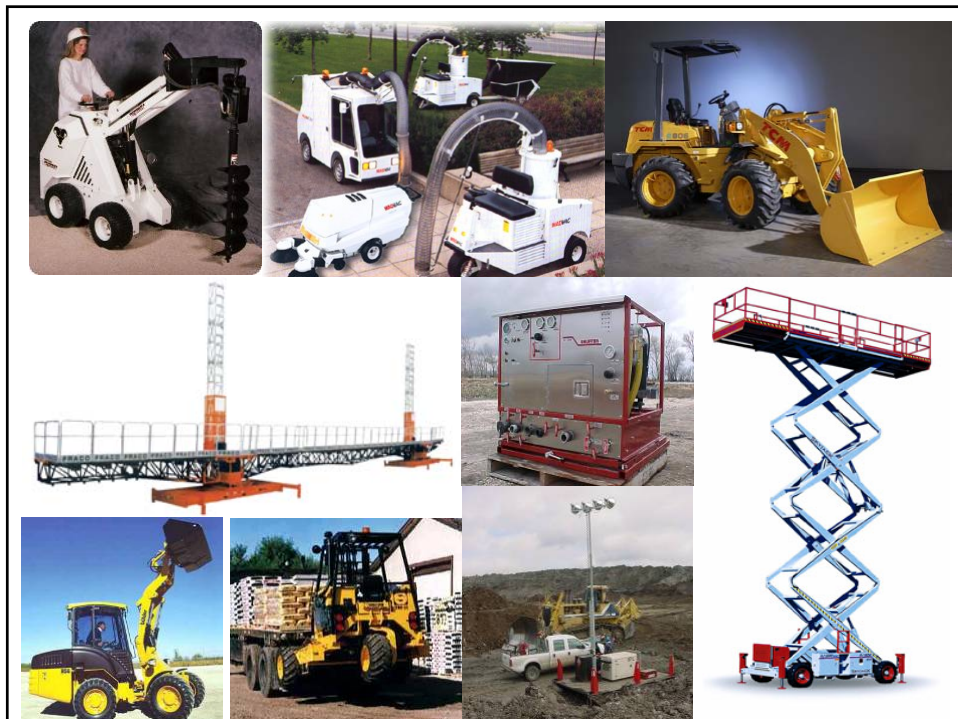
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Welcome to MDEC Workshop

John Baxter
Engineering Manager - Engine
Kubota Canada Ltd

Tuesday 2nd October 2007





EPA Industrial Engine Emissions



Applicable Regulations

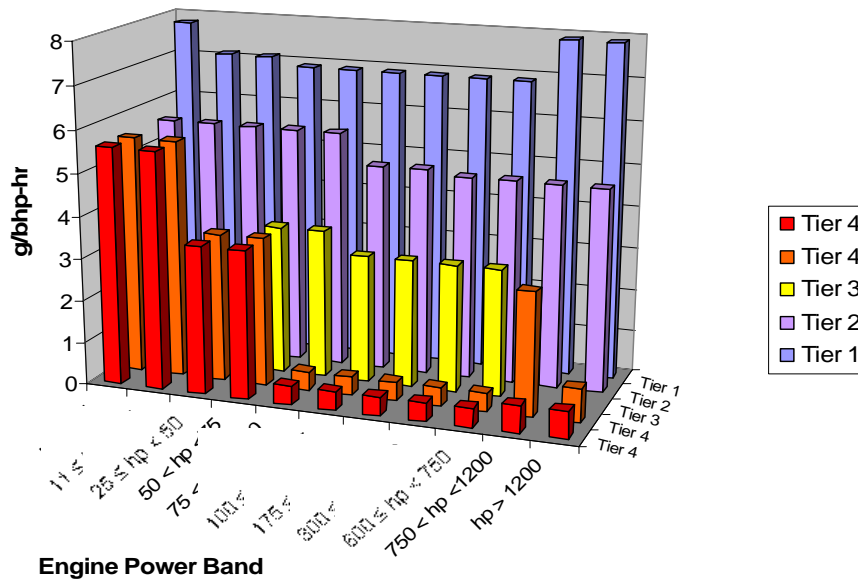
- 40 CFR Part 89 = Non-road Tier 1-3 regulations
- 40 CFR Part 1039, 1068 = Non-road Tier 4 regulations
- 40 CFR Part 60 = Stationary CI & SI regulations
- 40 CFR Part 94 = Marine CI regulations

Regulates:

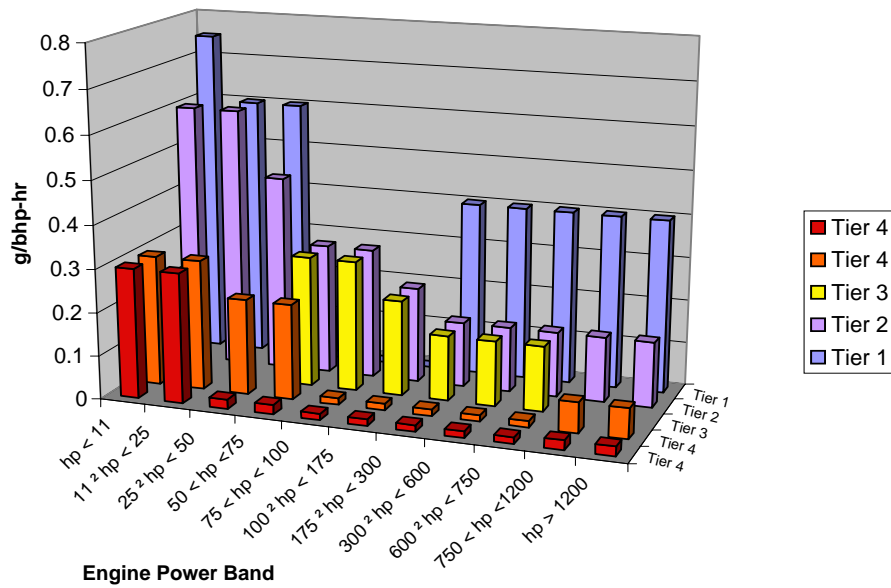
- Carbon monoxide (CO)*
- Non-methane Hydrocarbons (NMHC)*
- Nitrous Oxides (NOx)*
- Or combined NMHC+NOx*
- Particulate Matter (PM)*

Model Year	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015		
US EPA 40 CFR Part 1039 (June 29, 2004) Production Date					() Note : (NOx or NOx+NMHC / PM) or (NOx / HC / PM) Unit: g/kWh											
0 ≤ P < 8	Tier 1 (10.5 / 8.0 / 1.0)				Tier 2 (7.5 / 6.0 / 0.80)				Tier 4 (2.5 / 8.0 / 0.40*)							
8 ≤ P < 19	Tier 1 (9.5 / 6.6 / 0.80)				Tier 2 (7.5 / 6.6 / 0.80)				Tier 4 (2.5 / 6.6 / 0.40)							
19 ≤ P < 37	Tier 1 (9.5 / 5.5 / 0.80)				Tier 2 (7.5 / 5.5 / 0.80)				Interim Tier 4 (7.5 / 5.5 / 0.30)				Tier 4 (4.7 / 5.0 / 0.0)			
37 ≤ P < 56	Tier 1 (9.2 / ---)				Tier 2 (7.5 / 5.0 / 0.40)				Interim Tier 4 (4.7 / 5.0 / 0.30) Option #1				Tier 4 (4.7 / 5.0 / 0.0)			
									Tier 3 (4.7 / 5.0 / 0.40)							
													Interim Tier 4 (4.7 / 5.0 / 0.0) (g/kWh)			
56 ≤ P < 75	Tier 1 (9.2 / ---)				Tier 2 (7.5 / 5.0 / 0.40)				Tier 3 (4.7 / 5.0 / 0.40)				Tier 4 (0.40/0.19/5.0/0.02)			
													Alt. NOx Phase-in Option (2.3/0.19/5.0/0.02)			
													Interim Tier 4 (0.40/0.19/5.0/0.02) Phase-in Option (4.7/5.0/0.02) < 50%			
													Alt. NOx Phase-in Option (1.4/0.19/5.0/0.02)			
													Tier 4 (0.40/0.19/5.0/0.02)			
75 ≤ P < 130	Tier 1 (9.2 / ---)				Tier 2 (6.6 / 5.0 / 0.30)				Tier 3 (4.7 / 5.0 / 0.30)				Tier 4 (0.40/0.19/5.0/0.02)			
													Alt. NOx Phase-in Option (2.3/0.19/5.0/0.02)			
													Interim Tier 4 (0.40/0.19/5.0/0.02) Phase-in Option (4.7/5.0/0.02) < 75%			
													Alt. NOx Phase-in Option (1.4/0.19/5.0/0.02)			
130 ≤ P < 225	Tier 1 (9.2 / 3.1 / 1.4 / 0.54)				Tier 2 (6.6 / 3.5 / 0.20)				Tier 3 (4.7 / 3.5 / 0.20)				Tier 4 (0.40/0.19/3.5/0.02)			
													Alt. NOx Phase-in Option (2.0/0.19/3.5/0.02)			
225 ≤ P < 450	Tier 2 (6.6 / 3.5 / 0.20)				Tier 3 (4.7 / 3.5 / 0.20)				Tier 4 (0.40/0.19/3.5/0.02)				Tier 4 (0.40/0.19/3.5/0.02)			
													Interim Tier 4 (0.40/0.19/3.5/0.02) Phase-in Option (4.7/3.5/0.02) < 50%			
													Alt. NOx Phase-in Option (2.0/0.19/3.5/0.02)			
450 ≤ P < 560	Tier 2 (6.6 / 3.5 / 0.20)				Tier 3 (4.7 / 3.5 / 0.20)				Tier 4 (0.40/0.19/3.5/0.02)				Tier 4 (0.40/0.19/3.5/0.02)			
													Interim Tier 4 (0.40/0.19/3.5/0.02) Phase-in Option (4.7/3.5/0.02) < 50%			
													Alt. NOx Phase-in Option (2.0/0.19/3.5/0.02)			
Fuel Sulfur	500ppm														150ppm	
	Technical Review															
*Optional Tier 4 PM = 0.3g/kWh (During model year 2009 and 2009) = 0.6g/kWh (Starting with model year 2010) Engine Condition: hand-startable, air-cooled, and direct injection																

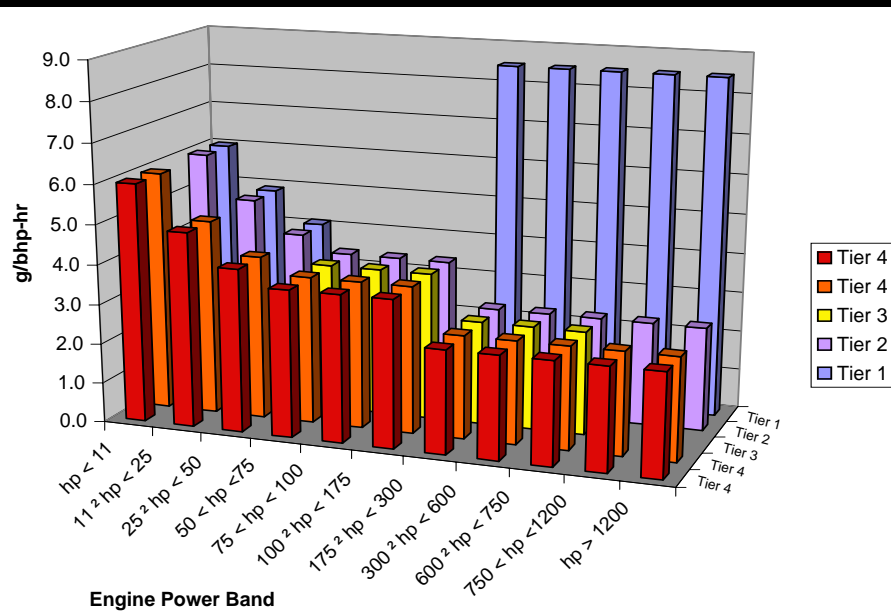
NMHC+NOx Emissions for Tiers 1 ~ 4 Transition



PM Emissions for Tiers 1 ~ 4 Transition

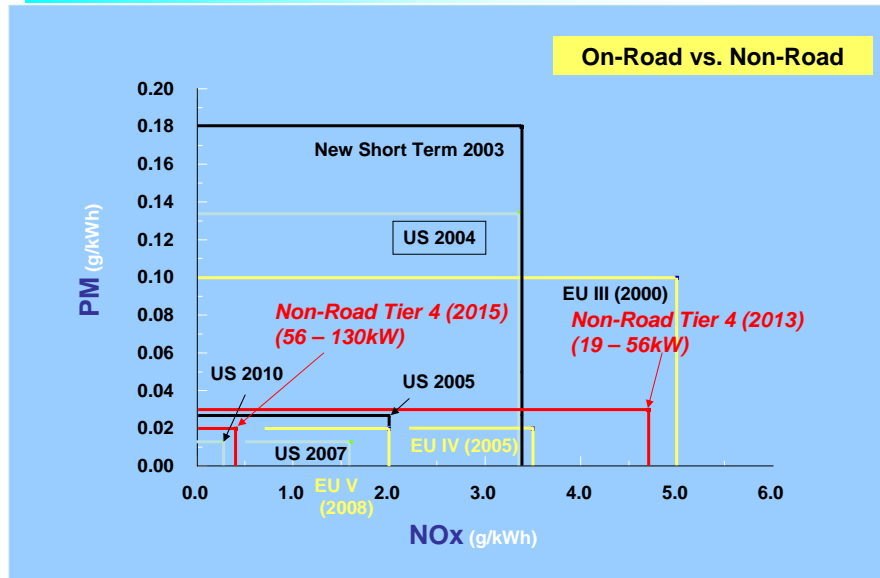


CO Emissions for Tiers 1 ~ 4 Transition



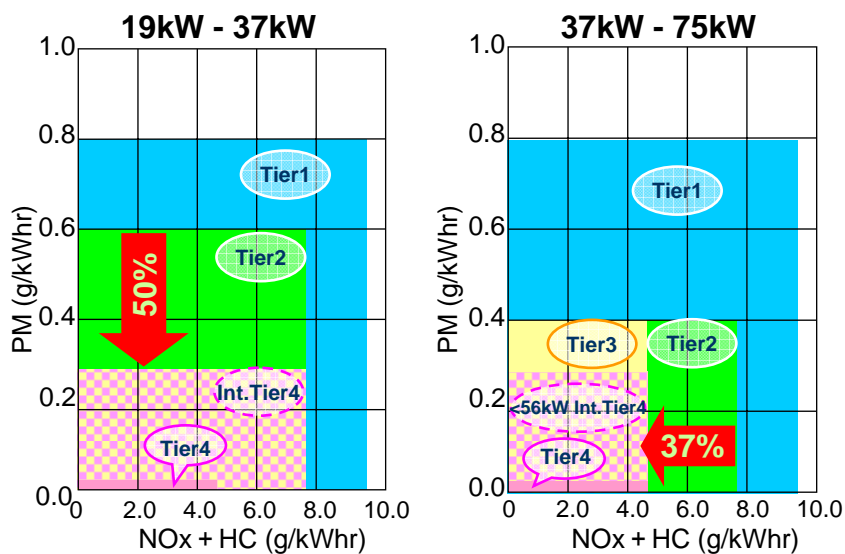
NOx vs. Particulate (PM)

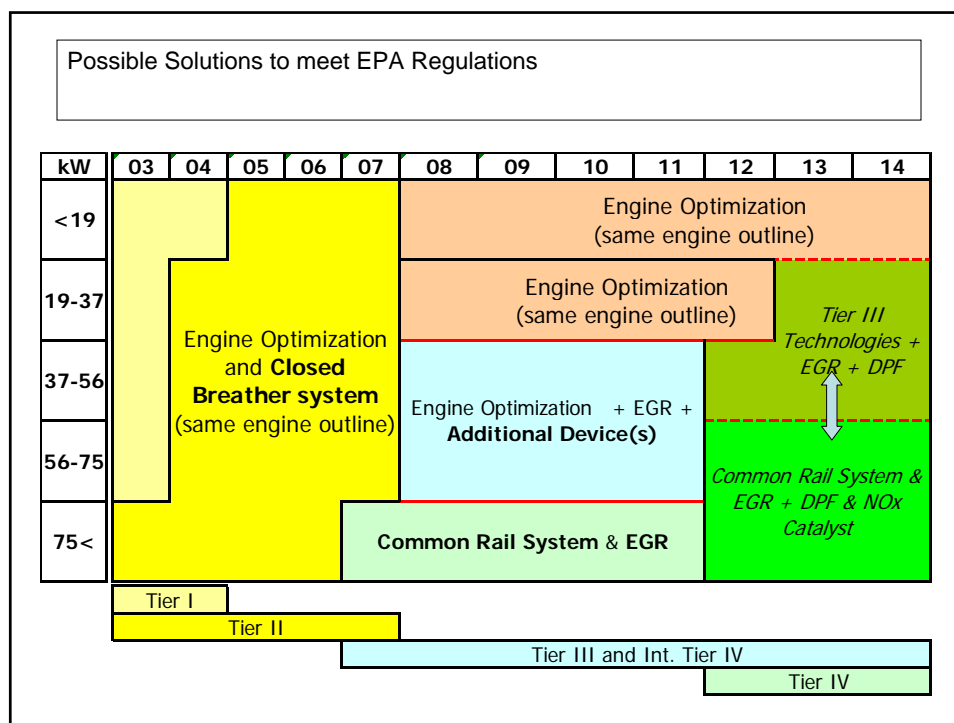
Kubota



Emissions Requirements by Power Class

Kubota





Tier 4 Standards for CI < 19 kW (25 hp)



Effective - 2008

<19kW (Tier 4) Focus = reduction of PM 50%
(Other emissions same as Tier 2)

- Internal engine optimization can be utilized
- No need for exhaust after-treatment
- EPA technology review to take place in 2007

Tier 4 Standards for CI < 19 kW (25 hp)

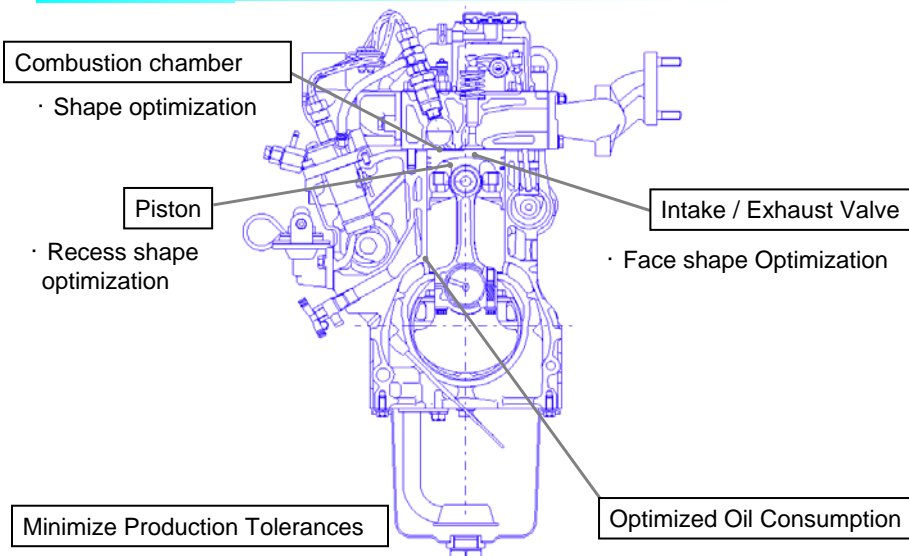
Model Year	2002	2003	2004	2006	2008	2007	2008	2009	2010	2011	2012	2013	2014	2016
US EPA 40 CFR Part 1039 (June 29, 2004)	Production Date				() Note: (NOx or NOx+NMHC / PM) or (NOx / HC / PM) Unit: g/kWh									
0 ≤ P < 8	Tier 1 (10.5 / 8.0 / 1.0)				Tier 2 (7.5 / 6.0 / 0.80)				Tier 4 (7.5 / 6.0 / 0.40)					
8 ≤ P < 19	Tier 1 (9.5 / 6.6 / 0.80)				Tier 2 (7.5 / 6.6 / 0.80)				Tier 4 (7.5 / 6.6 / 0.40)					

Solutions to meet EPA Regulations

kW	03	04	05	06	07	08	09	10	11	12	13	14
<19			Engine Optimization and Closed Breather system			Engine Optimization (same engine outline)						

Modification Items of <19kW for Tier 4i

Kubota



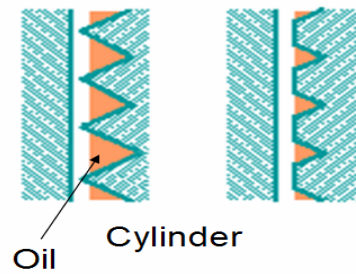
Same Engine Outline

Plateau honing

Plateau honing makes oil consumption propriety

**Current
machining**

**Plateau
machining**



Pistons



D722

D902

D905

Tier 3 – Interim Tier 4 Standards for CI 19 ≤ kW < 37 (25 ≤ hp < 50)




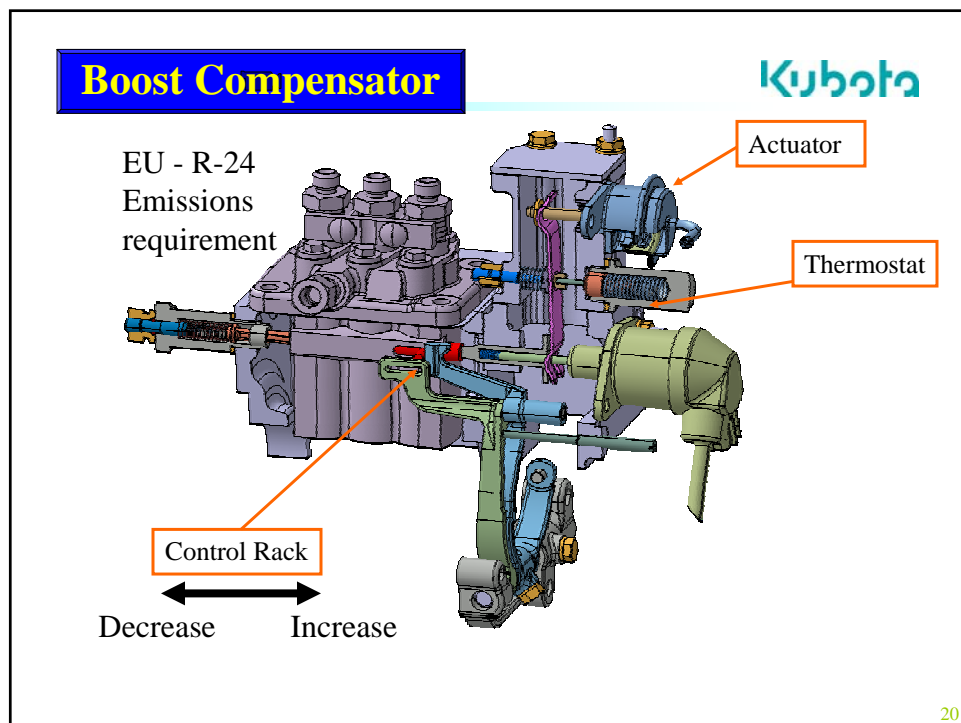
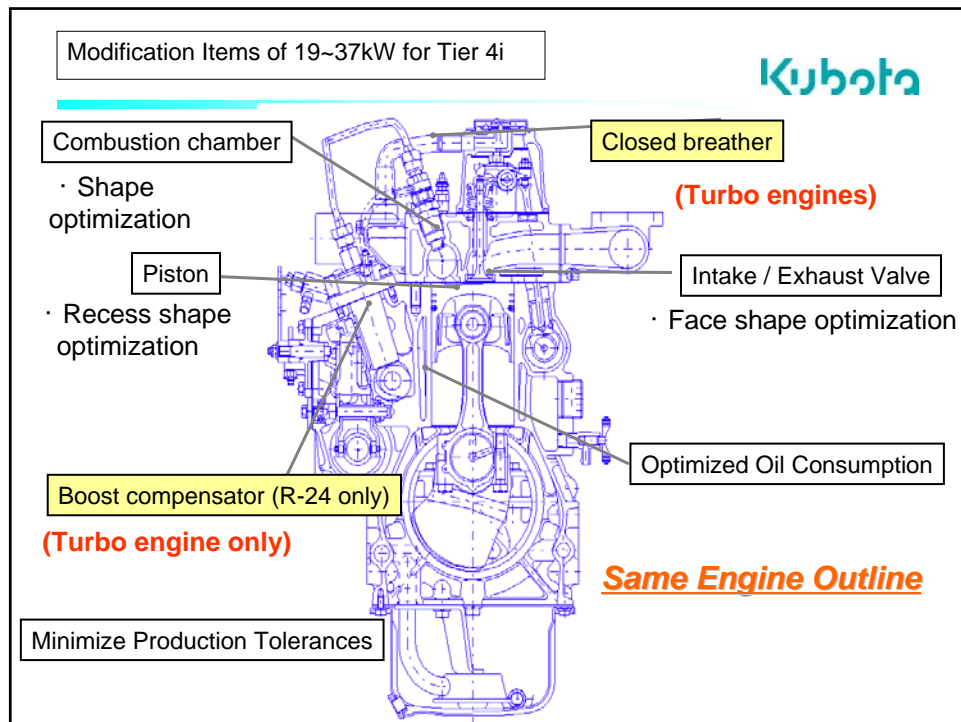
Effective - 2008

19~37kW (Int. Tier 4) Focus = reduction of PM 50% (Other emissions same as Tier 2)

Model Year	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015
19 < P < 37	Tier 1 (9.5 / 5.5 / 0.80)		Tier 2 (7.5 / 5.5 / 0.60)			Interim Tier 4 (7.5 / 5.5 / 0.30)				Tier 4 (4.7 / 3.0 / 0.03)				

Possible Solutions to meet EPA Regulations

kW	03	04	05	06	07	08	09	10	11	12	13	14
<19						Engine Optimization (same engine outline)						
19-37		Engine Optimization (same engine outline)				Engine Optimization (same engine outline)					Tier III Technologies + EGR + DPf	
37-56		Engine Optimization and Closed Breather system (same engine outline)				Engine Optimization + EGR + Additional Device(s)					 Common Rail System & EGR + DPf & NOx Catalyst	
56-75												
75<					Common Rail System & EGR							
Tier I												
Tier II												
Tier III and Int. Tier IV											Tier IV	





Closed Breather System

Tier 3 – Interim Tier 4 Standards for CI 37 ≤ kW < 56 (50 ≤ hp < 75)

Effective - 2008

37~56kW (TWO PATHS!) Focus =

Option 1 = Interim Tier 4 –

reduce NMHC+NOx by 37%, PM levels by 25% (CO emissions same as Tier 2)

Interim Tier 4 sunsets at **end of 2012**

2008	2009	2010	2011	2012	2013	2014
Interim Tier 4 (4.7 / 5.0 / 0.30) Option #1					Interim Tier 4 (4.7 / 5.0 / 0.03) (Option#2)	Tier 4 (4.7 / 5.0 / 0.03)
Tier 3 (4.7 / 5.0 / 0.40)						

Option 2 = Tier 3 –

reduce NMHC+NOx by 37%

PM levels same as Tier 2

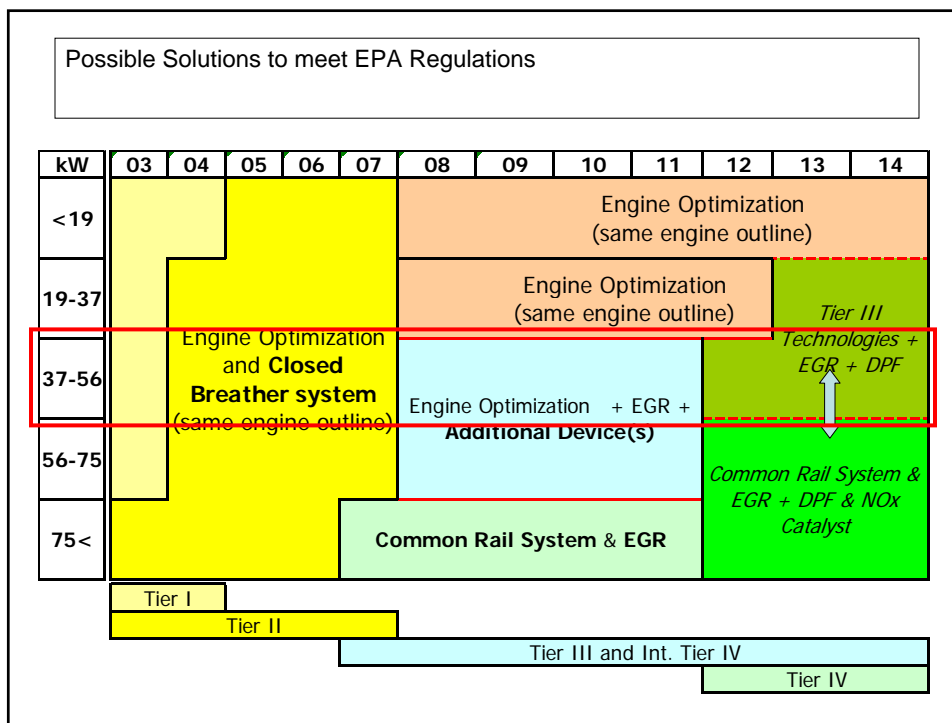
(Other emissions same as Tier 2)

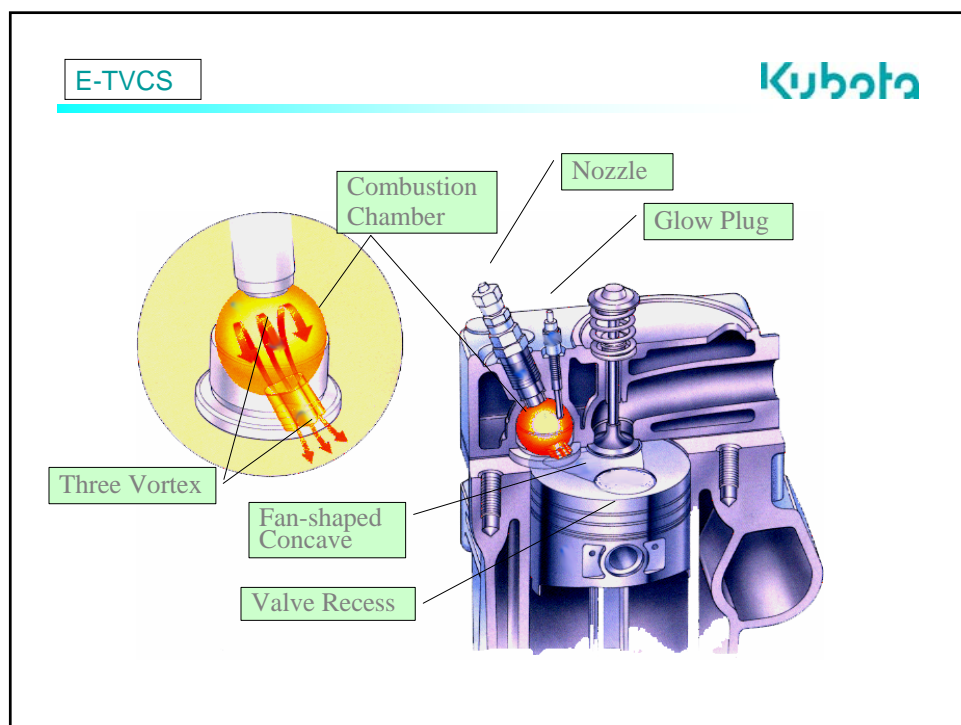
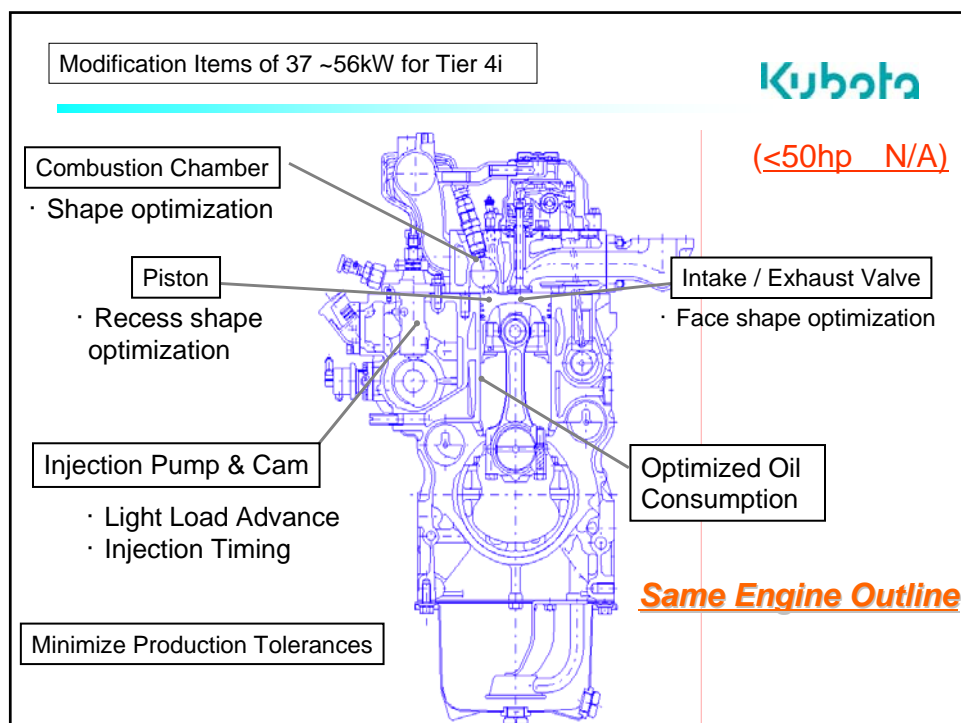
Tier 3 sunsets at **end of 2011**

Exhaust after-treatment required one whole year earlier with option 2

- PM reduction requires use of Low Sulfur Diesel fuel (< 500 ppm) from June 2007 (nonroad applications)

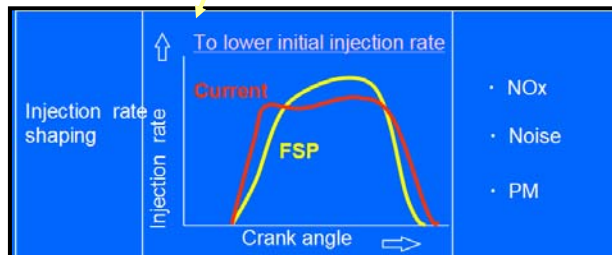
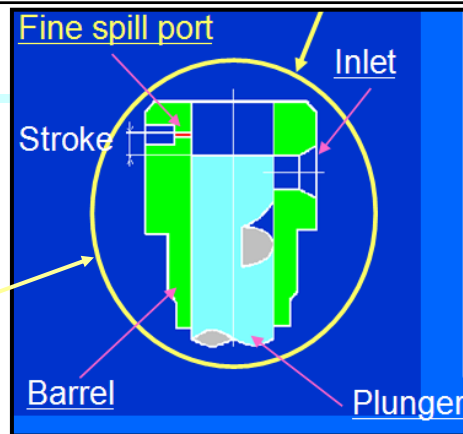
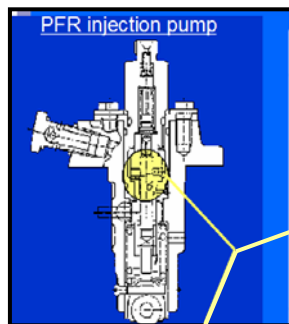
Model Year	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015
US EPA 40 CFR Part 1039 (June 29, 2004) Production Date					() Note : (NOx or NOx+NMHC / PM) or (NOx / HC / PM) Unit: g/kWh									
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8 ≤ P < 19	Tier 1 (10.5 / 6.6 / 0.80)				Tier 2 (7.5 / 5.5 / 0.80)				Tier 4 (2.5 / 0.0 / 0.40*)					
19 ≤ P < 37	Tier 1 (10.5 / 5.5 / 0.80)				Tier 2 (7.5 / 5.5 / 0.80)				Interim Tier 4 (2.5 / 0.0 / 0.30)				Tier 4 (2.5 / 0.0 / 0.40)	
37 ≤ P < 56	Tier 1 (9.2 / ---)				Tier 2 (7.5 / 5.0 / 0.40)				Interim Tier 4 (2.7 / 0.0 / 0.30) Option #1				Tier 4 (2.7 / 0.0 / 0.40)	
									Tier 3 (2.7 / 0.0 / 0.40)				Interim Tier 4 (2.7 / 0.0 / 0.40*)	
56 ≤ P < 75													(2.7 / 0.0 / 0.40*) (Optional)	
	Tier 1 (9.2 / ---)				Tier 2 (7.5 / 5.0 / 0.40)				Tier 3 (2.7 / 0.0 / 0.40)				Interim Tier 4 (2.7 / 0.0 / 0.40*) Phase-in Option (2.7 / 0.0 / 0.40*) Alt. NOx Phase-in Option (2.3 / 0.19 / 0.02)	
													Tier 4 (0.40 / 0.19 / 0.02)	
													Interim Tier 4 (0.40 / 0.19 / 0.02) Phase-in Option (2.7 / 0.0 / 0.40*) Alt. NOx Phase-in Option (2.3 / 0.19 / 0.02)	
75 ≤ P < 130	Tier 1 (9.2 / ---)				Tier 2 (6.5 / 5.0 / 0.30)				Tier 3 (2.5 / 0.0 / 0.30)				Interim Tier 4 (0.40 / 0.19 / 0.02) Phase-in Option (2.5 / 0.0 / 0.30) Alt. NOx Phase-in Option (2.3 / 0.19 / 0.02)	
													Tier 4 (0.40 / 0.19 / 0.02)	
													Interim Tier 4 (0.40 / 0.19 / 0.02) Phase-in Option (2.5 / 0.0 / 0.30) Alt. NOx Phase-in Option (2.3 / 0.19 / 0.02)	
													Tier 4 (0.40 / 0.19 / 0.02)	
130 ≤ P < 225	Tier 1 (9.2 / 3.1 / 1.40 / 54)				Tier 2 (6.5 / 3.5 / 0.20)				Tier 3 (2.5 / 0.0 / 0.20)				Interim Tier 4 (0.40 / 0.19 / 0.02) Phase-in Option (2.5 / 0.0 / 0.20) Alt. NOx Phase-in Option (2.0 / 0.19 / 0.02)	
													Tier 4 (0.40 / 0.19 / 0.02)	
225 ≤ P < 450					Tier 2 (6.5 / 3.5 / 0.20)				Tier 3 (2.5 / 0.0 / 0.20)				Interim Tier 4 (0.40 / 0.19 / 0.02) Phase-in Option (2.5 / 0.0 / 0.20) Alt. NOx Phase-in Option (2.0 / 0.19 / 0.02)	
													Tier 4 (0.40 / 0.19 / 0.02)	
450 ≤ P < 560					Tier 2 (6.5 / 3.5 / 0.20)				Tier 3 (2.5 / 0.0 / 0.20)				Interim Tier 4 (0.40 / 0.19 / 0.02) Phase-in Option (2.5 / 0.0 / 0.20) Alt. NOx Phase-in Option (2.0 / 0.19 / 0.02)	
													Tier 4 (0.40 / 0.19 / 0.02)	
Fuel Sulfur	500ppm													
	Technical Review													
	*Optional Tier 4 PM = 0.0g/kWh (During model year 2009 and 2009) = 0.0g/kWh (Starting with model year 2010) Engine Condition: hand-startable, air-cooled, and direct injection													

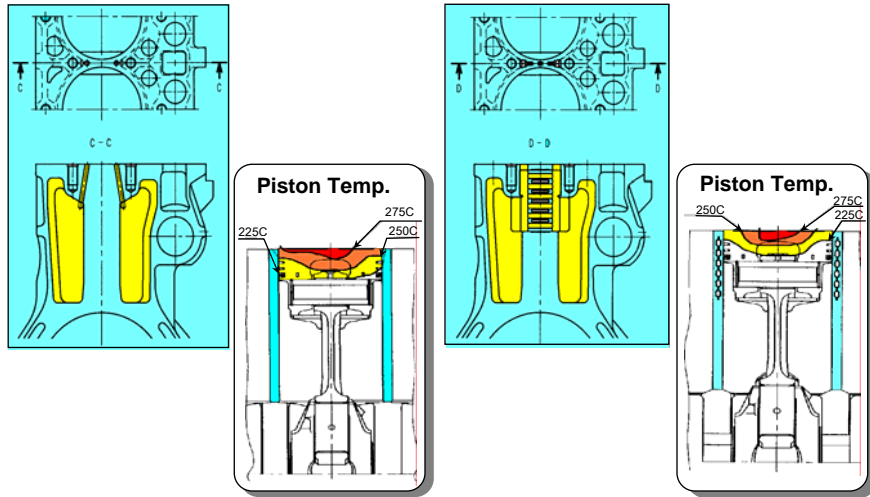






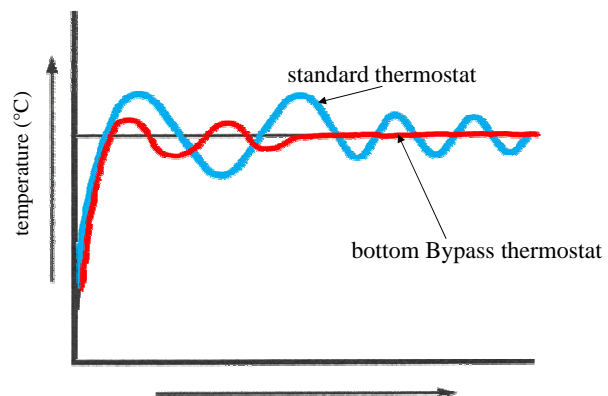
PFR Injection Pump with Fine Spill Port

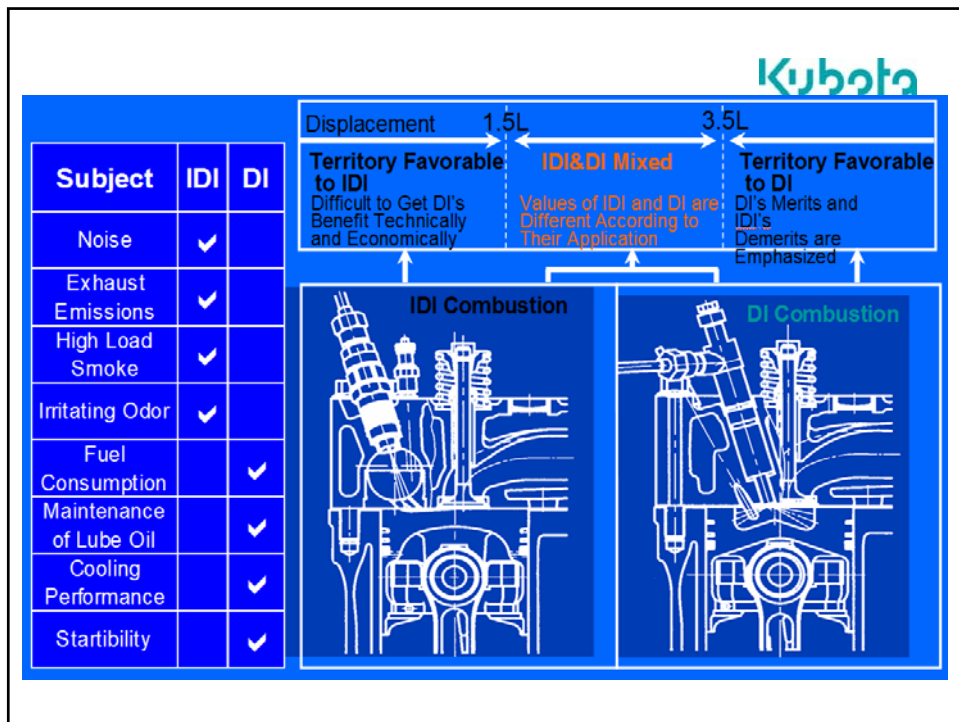




Thermostat

Control Type Thermostat & Bottom Bypass System





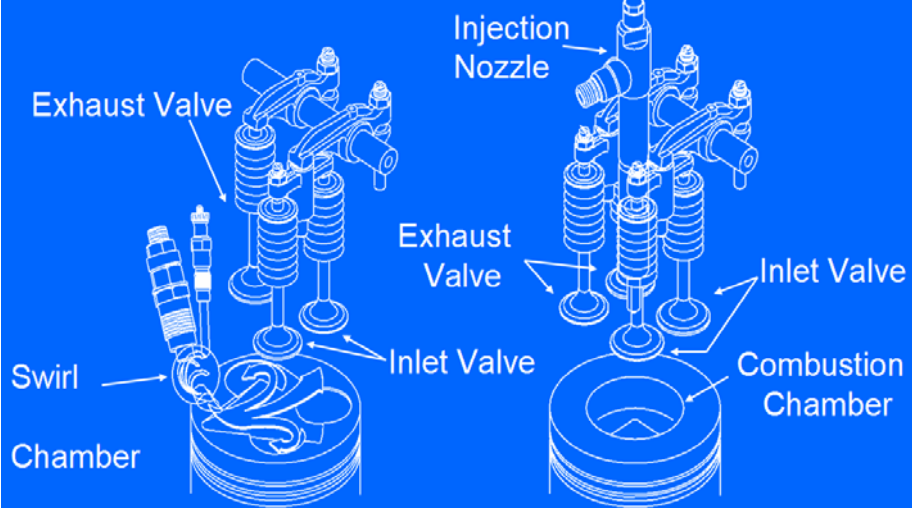
Kubota

New 03M Model	
V2403-M-IDI-T	Same Outline as V2403-M, with Turbo Charger No EGR required

New V3600 Model	
V3600-NA (IDI)	Same Outline as V3300-NA(IDI), with few minor changes – No EGR required
V3600-T (IDI)	Same Outline as V3300-NA(IDI), with few minor changes – No EGR required

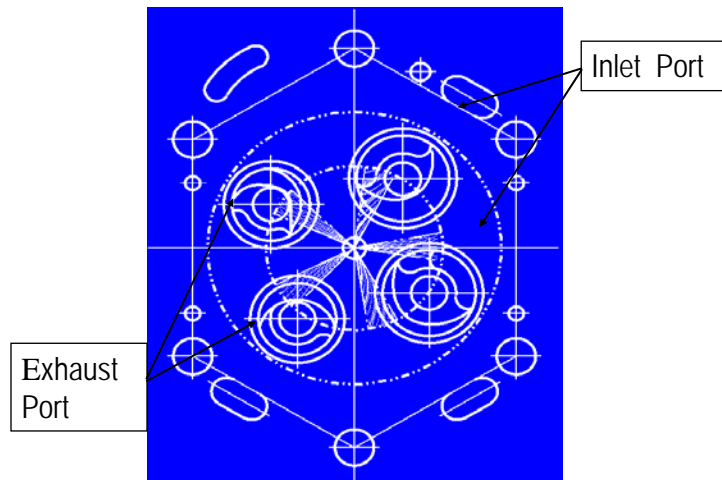
Multi-Valve E-TVCS (IDI)

Four-Valve DI



Molybdenum Disulfide Coating – DI Piston



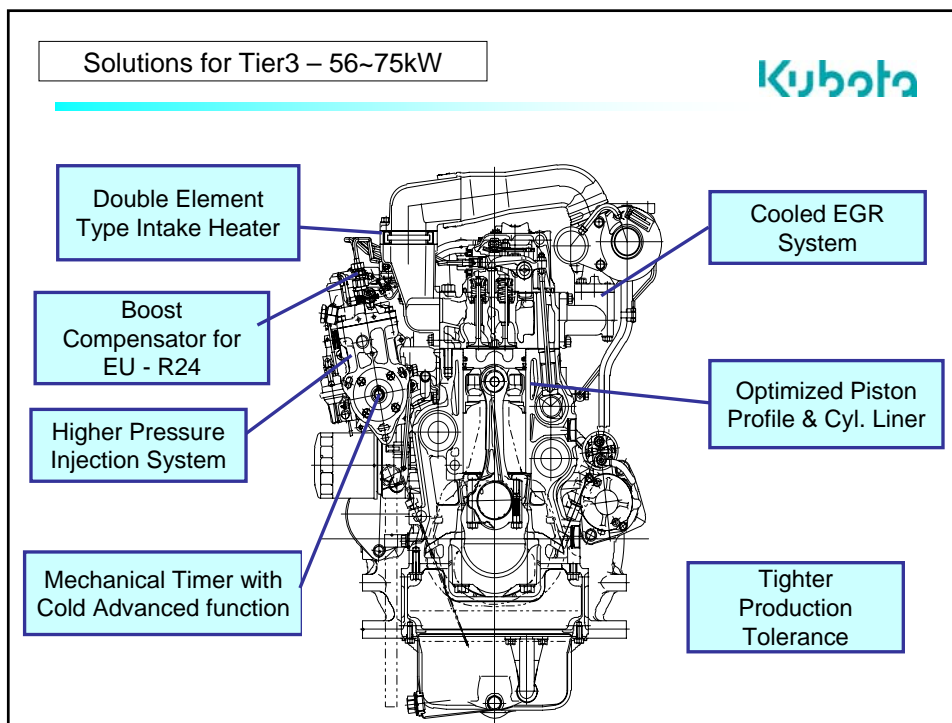


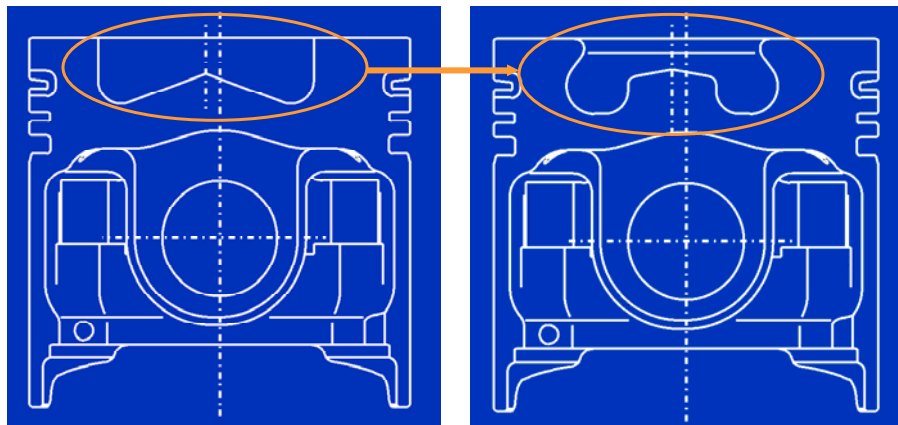
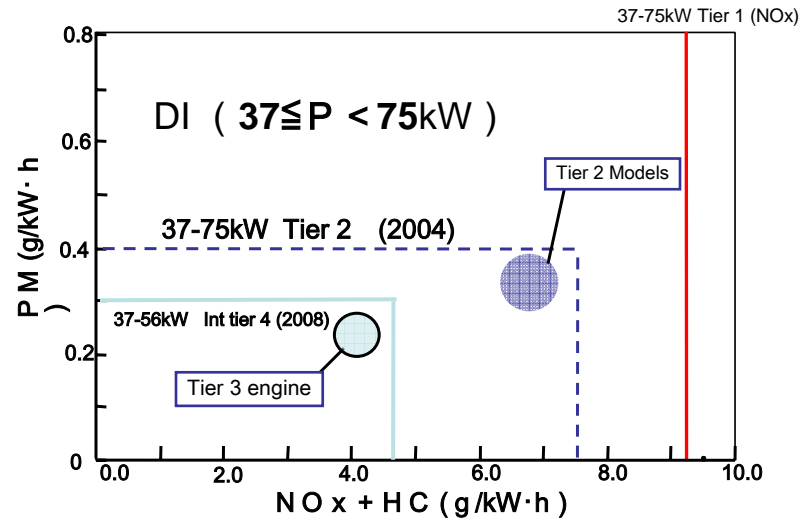
Tier 3 Standards for C I
56 ≤ kW < 75 (75 ≤ hp < 100)

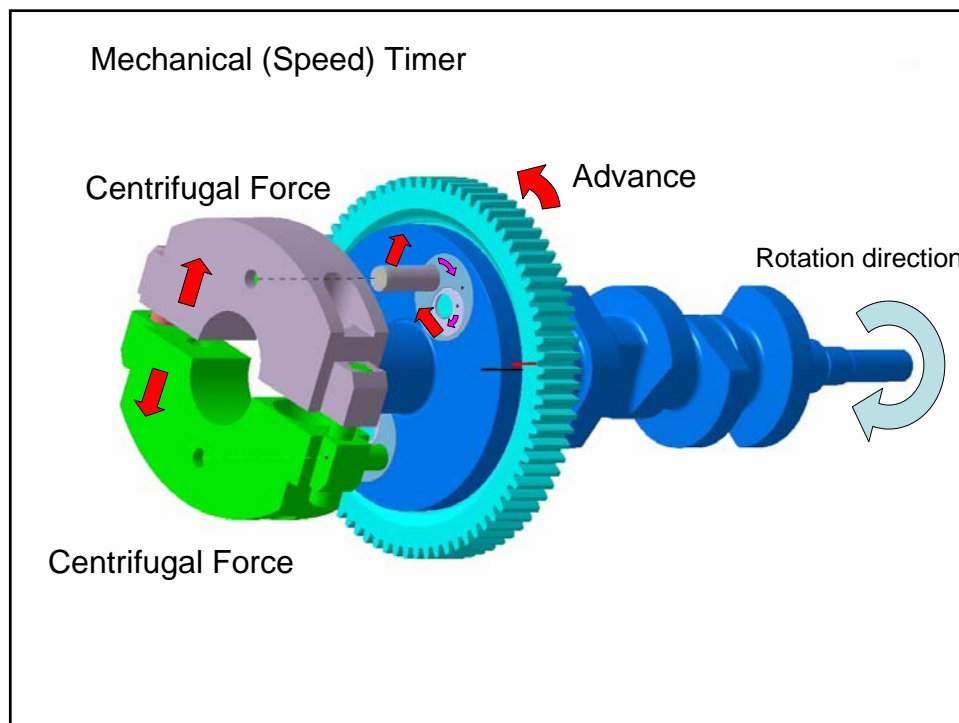
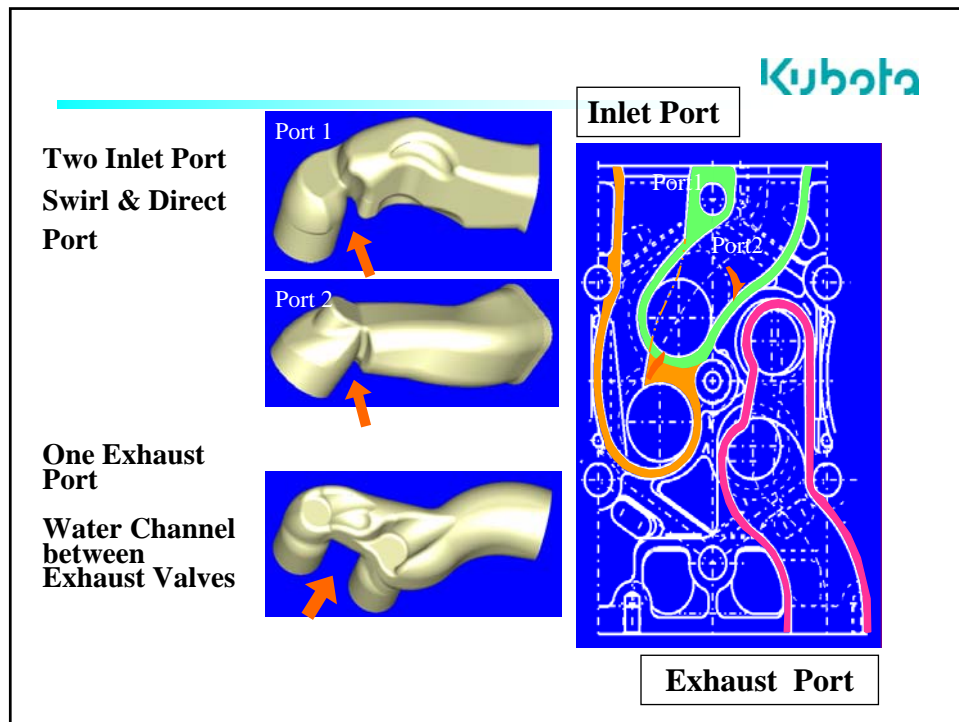
Effective 2008 - finishes, end 2011.

56~75kW Focus = reduction of NMHC+NOx 37%
(Other emissions same as Tier 2)

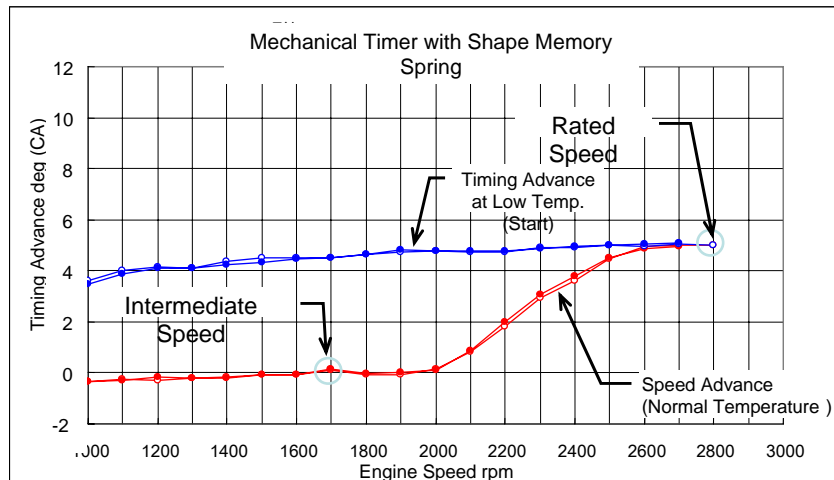
Model Year	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015		
US EPA 40 CFR Part 1039 (June 29, 2004) Production Date					() Note : (NOx or NOx+NMHC / PM) or (NOx / HC / PM) Unit: g/kWh											
0 ≤ P < 8	Tier 1 (10.5 / 8.0 / 1.0)				Tier 2 (7.5 / 5.0 / 0.80)				Tier 4 (7.5 / 5.0 / 0.40*)							
8 ≤ P < 19	Tier 1 (10.5 / 6.6 / 0.80)				Tier 2 (7.5 / 5.5 / 0.80)				Tier 4 (7.5 / 5.5 / 0.40*)							
19 ≤ P < 37	Tier 1 (10.5 / 5.5 / 0.80)				Tier 2 (7.5 / 5.5 / 0.50)				Interim Tier 4 (7.5 / 5.5 / 0.30)							
37 ≤ P < 56	Tier 1 (9.2 / — / —)				Tier 2 (7.5 / 5.0 / 0.40)				Interim Tier 4 (4.7 / 5.0 / 0.30) Option #1							
									Interim Tier 4 (4.7 / 5.0 / 0.40)							
									(4.7 / 5.0 / 0.00) (Optional)							
56 ≤ P < 75	Tier 1 (9.2 / — / —)				Tier 2 (7.5 / 5.0 / 0.40)				Tier 3 (4.7 / 5.0 / 0.40)				Tier 4 (0.40/0.19/5.0/0.00)			
													Interim Tier 4 (0.40/0.19/5.0/0.00)			
													Phase-in Option (4.7 / 5.0 / 0.00) < 50%			
													Alt. NOx Phase-in Option (2.3/0.19/5.0/0.00)			
													Interim Tier 4 (0.40/0.19/5.0/0.00)			
													Phase-in Option (4.7 / 5.0 / 0.00) < 75%			
													Alt. NOx Phase-in Option (1.4/0.19/5.0/0.00)			
													Tier 4 (0.40/0.19/5.0/0.00)			
75 ≤ P < 130	Tier 1 (9.2 / — / —)				Tier 2 (6.6 / 5.0 / 0.30)				Tier 3 (4.0 / 5.0 / 0.30)				Tier 4 (0.40/0.19/5.0/0.00)			
													Interim Tier 4 (0.40/0.19/5.0/0.00)			
													Phase-in Option (4.7 / 5.0 / 0.00) < 50%			
													Alt. NOx Phase-in Option (2.3/0.19/5.0/0.00)			
													Interim Tier 4 (0.40/0.19/5.0/0.00)			
													Phase-in Option (4.7 / 5.0 / 0.00) < 75%			
													Alt. NOx Phase-in Option (1.4/0.19/5.0/0.00)			
													Tier 4 (0.40/0.19/5.0/0.00)			
130 ≤ P < 225	Tier 1 (9.2 / 1.3 / 1.40/54)				Tier 2 (6.6 / 3.5 / 0.20)				Tier 3 (4.0 / 3.5 / 0.20)				Tier 4 (0.40/0.19/3.5/0.00)			
													Interim Tier 4 (0.40/0.19/3.5/0.00)			
													Phase-in Option (4.7 / 3.5 / 0.00) < 50%			
													Alt. NOx Phase-in Option (2.0/0.19/3.5/0.00)			
													Tier 4 (0.40/0.19/3.5/0.00)			
225 ≤ P < 450					Tier 2 (6.6 / 3.5 / 0.20)				Tier 3 (4.0 / 3.5 / 0.20)				Tier 4 (0.40/0.19/3.5/0.00)			
													Interim Tier 4 (0.40/0.19/3.5/0.00)			
													Phase-in Option (4.7 / 3.5 / 0.00) < 50%			
													Alt. NOx Phase-in Option (2.0/0.19/3.5/0.00)			
													Tier 4 (0.40/0.19/3.5/0.00)			
450 ≤ P < 560					Tier 2 (6.6 / 3.5 / 0.20)				Tier 3 (4.0 / 3.5 / 0.20)				Tier 4 (0.40/0.19/3.5/0.00)			
													Interim Tier 4 (0.40/0.19/3.5/0.00)			
													Phase-in Option (4.7 / 3.5 / 0.00) < 50%			
													Alt. NOx Phase-in Option (2.0/0.19/3.5/0.00)			
													Tier 4 (0.40/0.19/3.5/0.00)			
Fuel Sulfur	500ppm														15ppm	
	Technical Review															
*Optional Tier 4 PM = 0.3g/kWh (During model year 2009 and 2009) = 0.6g/kWh (Starting with model year 2010) Engine Condition: hand-startable, air-cooled, and direct injection																



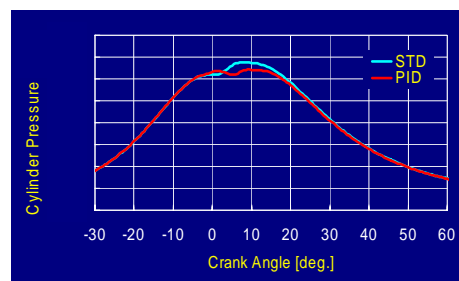




Timing Advance Function of Mechanical Timer (Temperature / Engine Speed)



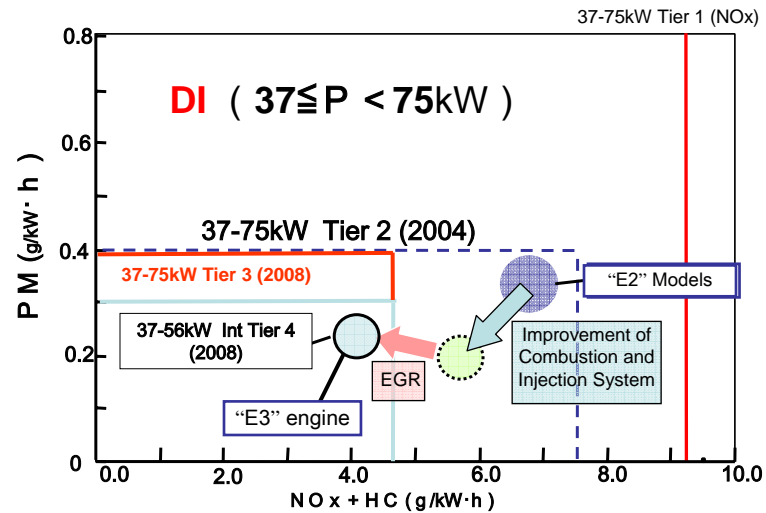
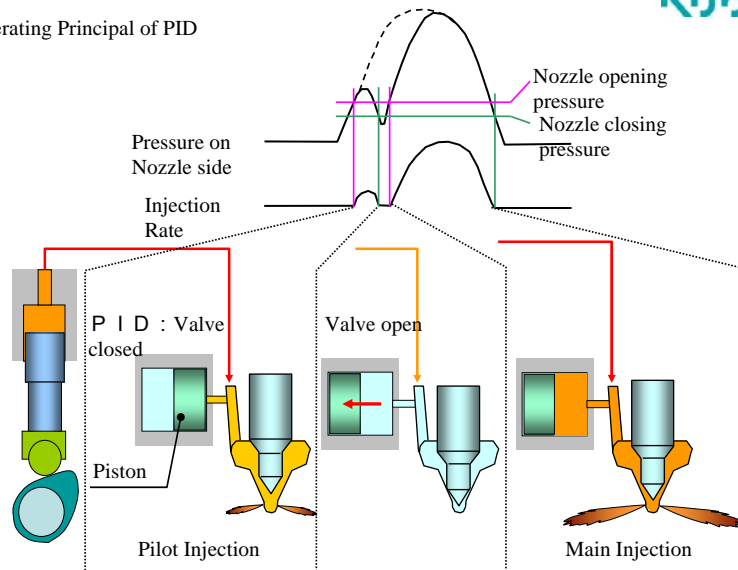
Mechanical Pilot Injection Device for PFR Injection Pump



Principle of Mechanical Pilot Injection

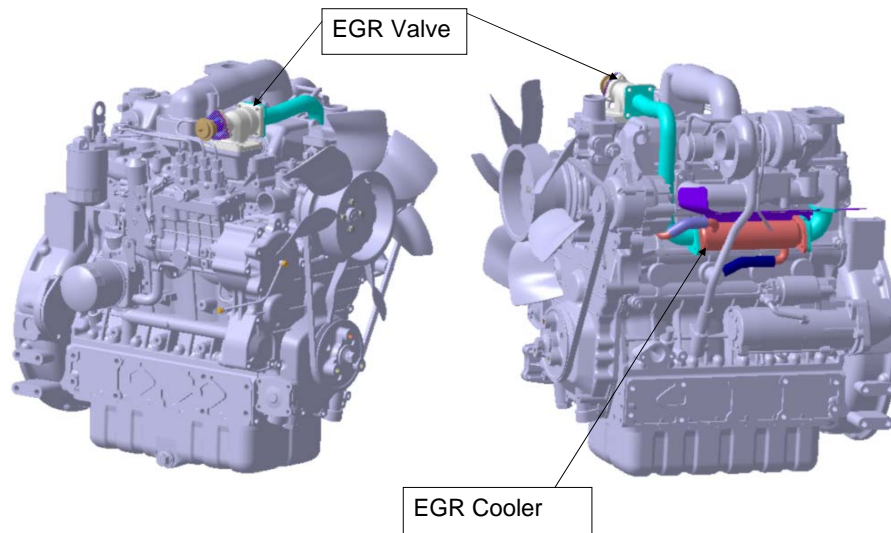
Kubota

Operating Principal of PID



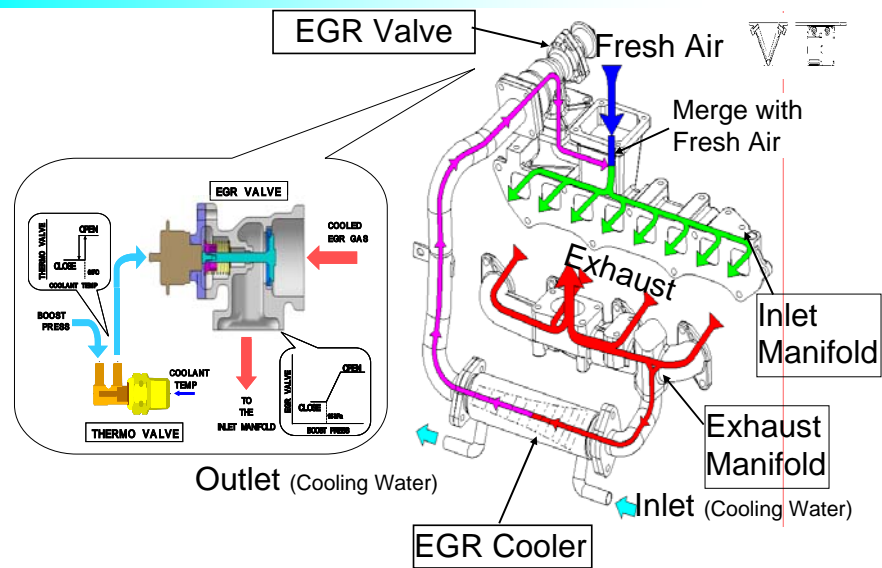
V3800-DI-T-E3

Kubota



Cooled EGR System for V3800DI-T - 56 ~75kW

Kubota



EGR



Exhaust gas is cooled approx. 390°C by engine coolant under certain conditions.

To reduce noxious emissions by lowering the combustion temperature.

Approx. 8% of the exhaust gases under certain conditions are returned to the combustion chambers.

The cooled gases minimize temperatures and hamper nitrogen oxide formation.

Model Year	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015
US EPA 40 CFR Part 1039 (June 29, 2004)	Production Date				() Note: (NOx or NOx+NMHC / PM) or (NOx / HC / PM) Unit: g/kWh									
0 ≤ P < 8	Tier 1 (10.5 / 8.0 / 1.0)				Tier 2 (7.5 / 5.0 / 0.80)				Tier 4 (7.5 / 5.0 / 0.40*)					
8 ≤ P < 19	Tier 1 (5.5 / 6.6 / 0.80)				Tier 2 (7.5 / 5.5 / 0.80)				Tier 4 (7.5 / 5.5 / 0.40)					
19 ≤ P < 37	Tier 1 (5.5 / 5.5 / 0.80)				Tier 2 (7.5 / 5.5 / 0.60)				Interim Tier 4 (7.5 / 5.5 / 0.30)			Tier 4 (7.5 / 5.5 / 0.30)		
37 ≤ P < 56	Tier 1 (5.2 / — / —)				Tier 2 (7.5 / 5.0 / 0.40)				Interim Tier 4 (7.5 / 5.0 / 0.30) Option #1			Tier 4 (7.5 / 5.0 / 0.30)		
									Tier 3 (7.5 / 5.0 / 0.40)			Interim Tier 4 (7.5 / 5.0 / 0.30)		
56 ≤ P < 75	Tier 1 (5.2 / — / —)				Tier 2 (7.5 / 5.0 / 0.40)				Tier 3 (7.5 / 5.0 / 0.40)			Interim Tier 4 (7.5 / 5.0 / 0.30) Option #2		
												Interim Tier 4 (7.5 / 5.0 / 0.30) Option #2		
												Interim Tier 4 (7.5 / 5.0 / 0.30) Option #2		
												Interim Tier 4 (7.5 / 5.0 / 0.30) Option #2		
												Interim Tier 4 (7.5 / 5.0 / 0.30) Option #2		
75 ≤ P < 130	Tier 1 (5.2 / — / —)				Tier 2 (5.5 / 3.5 / 0.30)				Tier 3 (5.5 / 3.5 / 0.30)			Interim Tier 4 (5.5 / 3.5 / 0.30) Option #1		
												Interim Tier 4 (5.5 / 3.5 / 0.30) Option #1		
												Interim Tier 4 (5.5 / 3.5 / 0.30) Option #1		
												Interim Tier 4 (5.5 / 3.5 / 0.30) Option #1		
												Interim Tier 4 (5.5 / 3.5 / 0.30) Option #1		
130 ≤ P < 225	Tier 1 (5.2 / 1.3 / 1.45)				Tier 2 (5.5 / 3.5 / 0.20)				Tier 3 (5.5 / 3.5 / 0.20)			Interim Tier 4 (5.5 / 3.5 / 0.20) Option #1		
												Interim Tier 4 (5.5 / 3.5 / 0.20) Option #1		
												Interim Tier 4 (5.5 / 3.5 / 0.20) Option #1		
												Interim Tier 4 (5.5 / 3.5 / 0.20) Option #1		
												Interim Tier 4 (5.5 / 3.5 / 0.20) Option #1		
225 ≤ P < 450	Tier 2 (5.5 / 3.5 / 0.20)				Tier 3 (5.5 / 3.5 / 0.20)				Tier 4 (5.5 / 3.5 / 0.20)			Interim Tier 4 (5.5 / 3.5 / 0.20) Option #1		
												Interim Tier 4 (5.5 / 3.5 / 0.20) Option #1		
												Interim Tier 4 (5.5 / 3.5 / 0.20) Option #1		
												Interim Tier 4 (5.5 / 3.5 / 0.20) Option #1		
												Interim Tier 4 (5.5 / 3.5 / 0.20) Option #1		
450 ≤ P < 560	Tier 2 (5.5 / 3.5 / 0.20)				Tier 3 (5.5 / 3.5 / 0.20)				Tier 4 (5.5 / 3.5 / 0.20)			Interim Tier 4 (5.5 / 3.5 / 0.20) Option #1		
												Interim Tier 4 (5.5 / 3.5 / 0.20) Option #1		
												Interim Tier 4 (5.5 / 3.5 / 0.20) Option #1		
												Interim Tier 4 (5.5 / 3.5 / 0.20) Option #1		
												Interim Tier 4 (5.5 / 3.5 / 0.20) Option #1		
Fuel Sulfur	500ppm													
	Technical Review													
	*Optional Tier 4 PM = 0.04g/kWh (During model year 2008 and 2009) = 0.04g/kWh (Starting with model year 2010) Engine Condition: hard-startable, air-cooled, and direct injection													

Tier 4 Standards for C I

56 ≤ kW < 75 (75 ≤ hp < 100)



Effective 2012

- Multiple phase in options, two or three years as Interim Tier 4 with flexible NOx requirements but 95% PM reduction!!!!

2008	2012	2013	2014	2015+
Tier 3 (4.7 / 5.0 / 0.40)	Interim Tier 4 (0.40/0.19/5.0/0.02) Phase-In Option(4.7/5.0/0.02)<50%	Tier 4 (0.40/0.19/5.0/0.02)		
	Alt. NOx Phase-in Option (2.3/0.19/5.0/0.02)			
	Interim Tier 4 (0.40/0.19/5.0/0.02) Phase-In Option(4.7/5.0/0.02)<75%	Tier 4 (0.40/0.19/5.0/0.02)		
	Alt. NOx Phase-in Option (3.4/0.19/5.0/0.02)			

- NMHC and NOx now measured separately
(vs. combined NMHC+NOx as in Tier 3)

Requires Ultra Low Sulfur Diesel fuel (<15 ppm) - June 2010 (nonroad applications)

Exhaust after treatment technologies currently being developed to meet 2007 on-highway diesel emission standards will be required

Possible Solutions to meet EPA Regulations

kW	03	04	05	06	07	08	09	10	11	12	13	14		
<19	<div>Engine Optimization and Closed Breather system (same engine outline)</div>					Engine Optimization (same engine outline)								
19-37						Engine Optimization (same engine outline)							<div>Tier III Technologies + EGR + DPF</div> <div>↕</div>	
37-56						Engine Optimization + EGR + Additional Device(s)								
56-75													Common Rail System & EGR + DPF & NOx Catalyst	
75<						Common Rail System & EGR								
Tier I														
Tier II														
Tier III and Int. Tier IV														
											Tier IV			

Summary – CI Emissions Reductions **Tier 2 to Tier 4:**

<19kW (25hp)	NOx, CO same, PM drops 50%
19 ~ 37kW (25~50hp)	NOx drops 37%, PM drops 95%
37 ~ 56kW (50~75hp)	NOx drops 37%, PM drops 93%
56 ~ 75kW (75~100hp)	NOx drops 95%, PM drops 95%

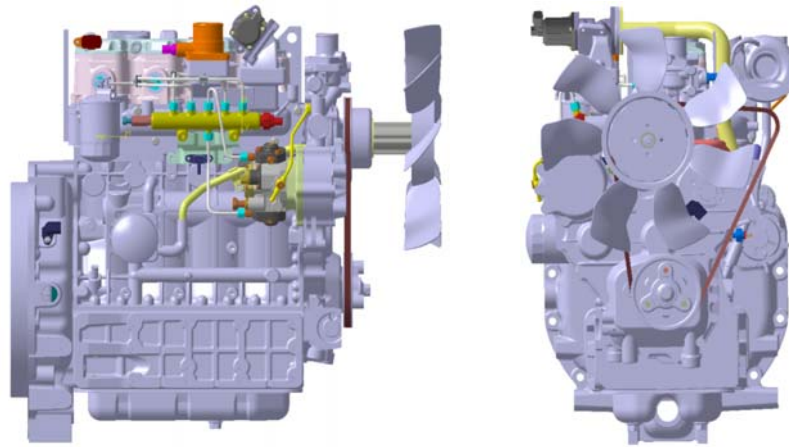
Significant reductions requiring much greater control of combustion (Engine optimization + EGR) and addition of exhaust after treatment (PM filters).

Regulations Address New Engines

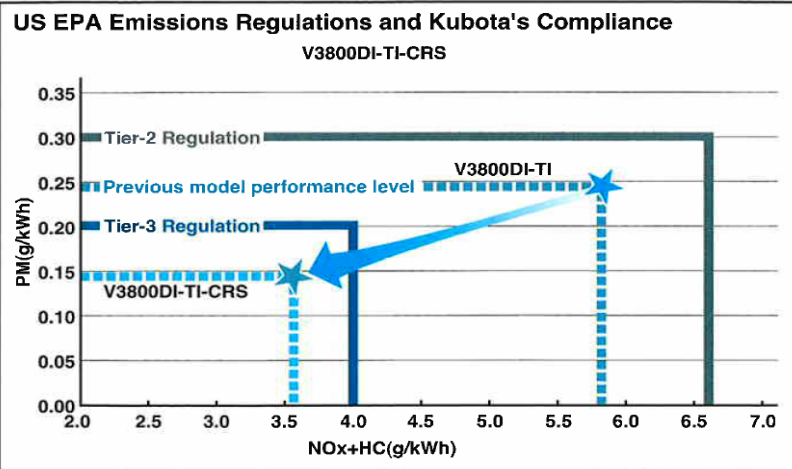
➤ Electric Controlled Engines:	2007
- ECU, Common rail fuel injection, EGR	
➤ PM Control Aftertreatment:	2012/13
- PM filter (DPF) over 19kW engines	
➤ NOx Control Aftertreatment:	2014/15
- NOx Catalyst over 57kW engines	
➤ Fuel Diversity:	
- Low sulfur diesel (LSD) 500 ppm	2007
- Ultra low sulfur diesel (USLD) 15 ppm	2010
- Biodiesel B5	Immediate

V38DICR-TIE3-S

Kubota

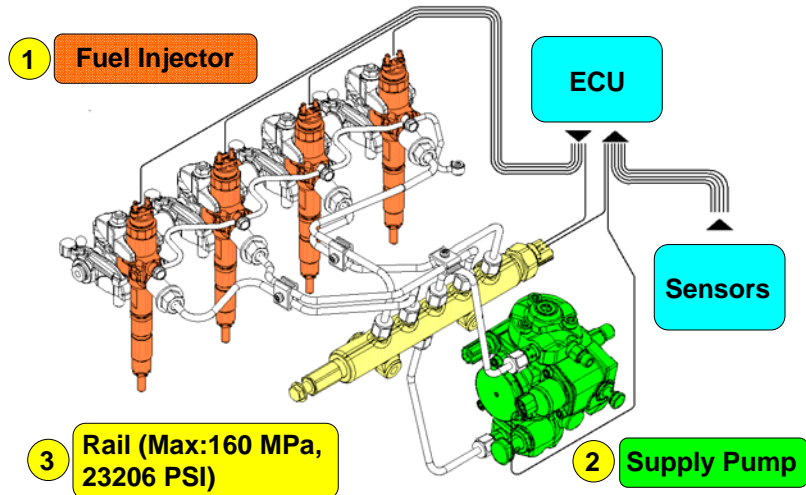


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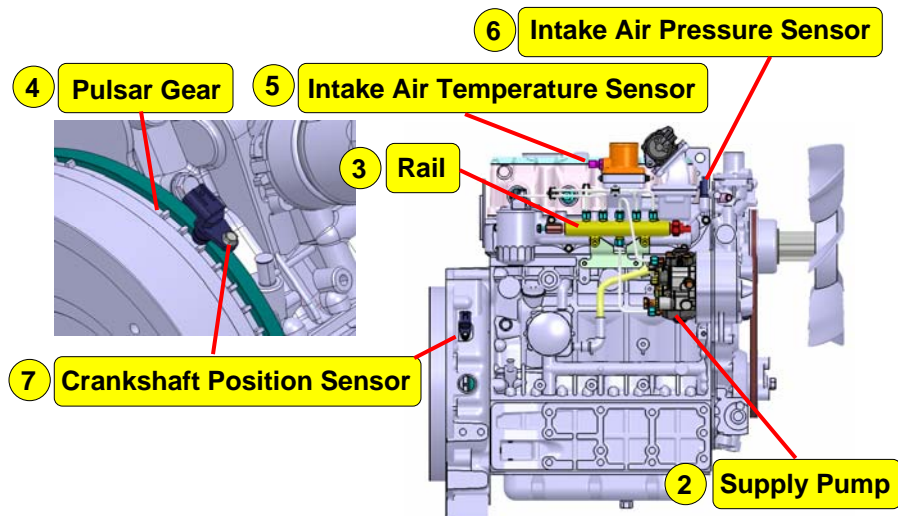
V38DICR-TIE3-S
High Pressure Common Rail System

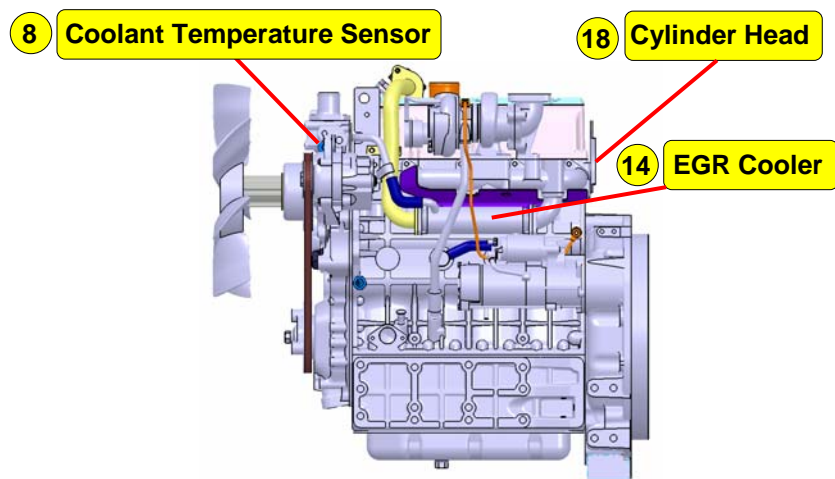
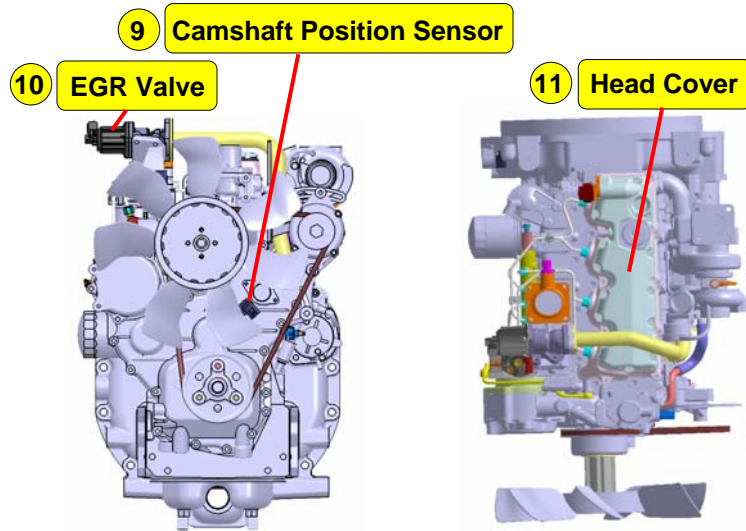
Kubota



V38DICR-TIE3-S
New and Modified Parts Location (1)

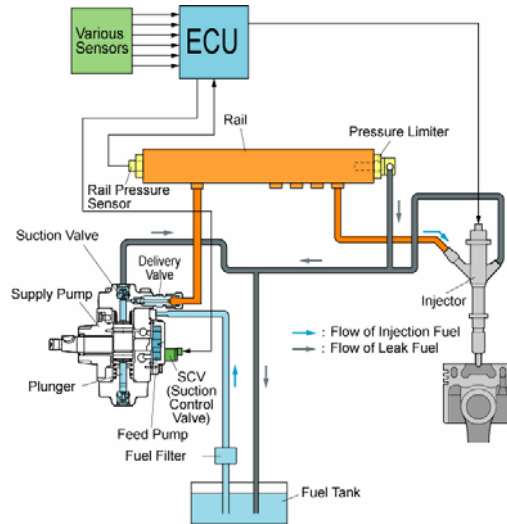
Kubota





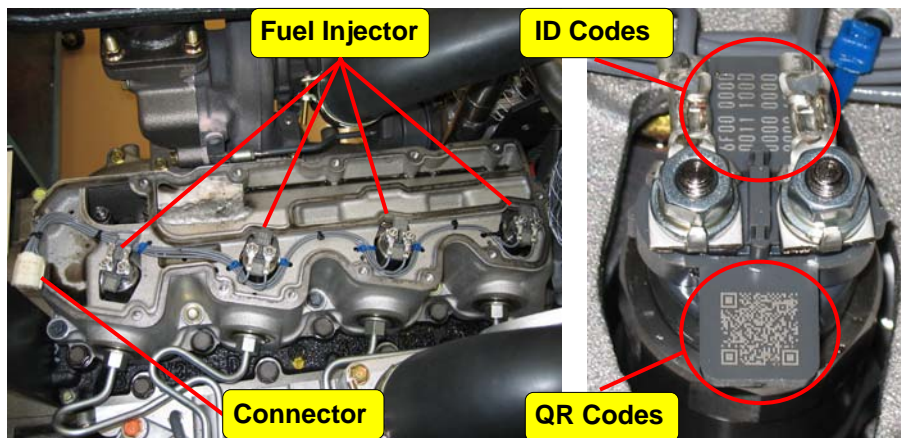
V38DICR-TIE3-S
High Pressure Common Rail System

Kubota



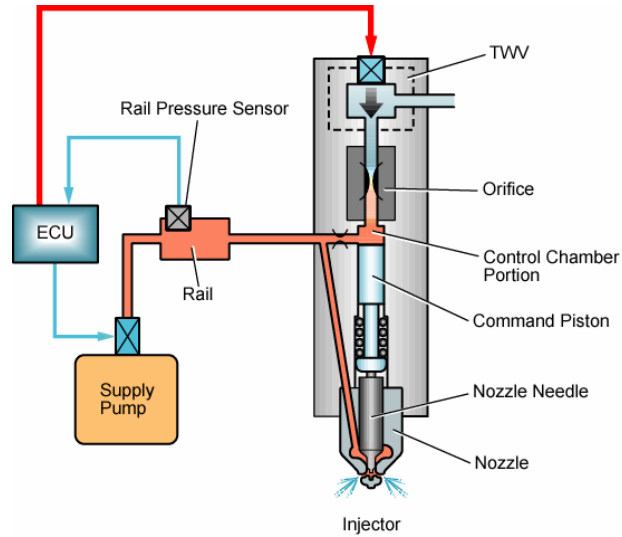
V38DICR-TIE3-S
FUEL INJECTOR EXTERNAL VIEW (1)

Kubota



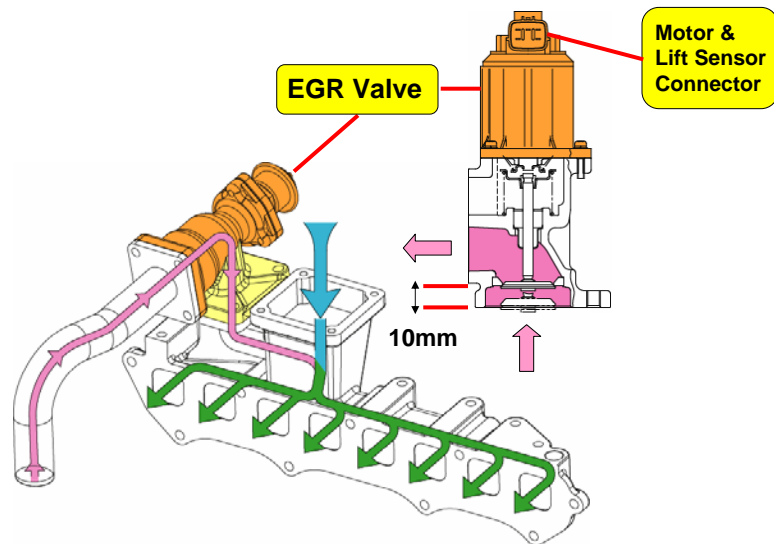
V38DICR-TIE3-S
FUEL INJECTOR

Kubota



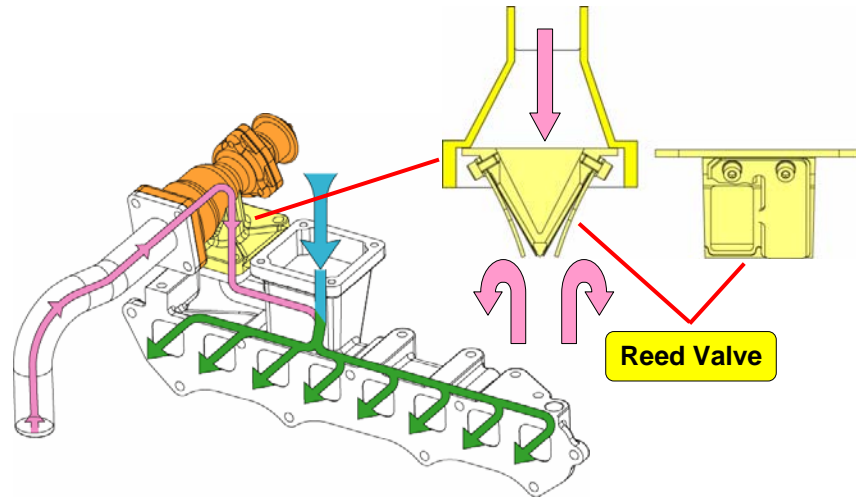
V38DICR-TIE3-S EGR VALVE

Kubota



V38DICR-TIE3-S REED VALVE

Kubota



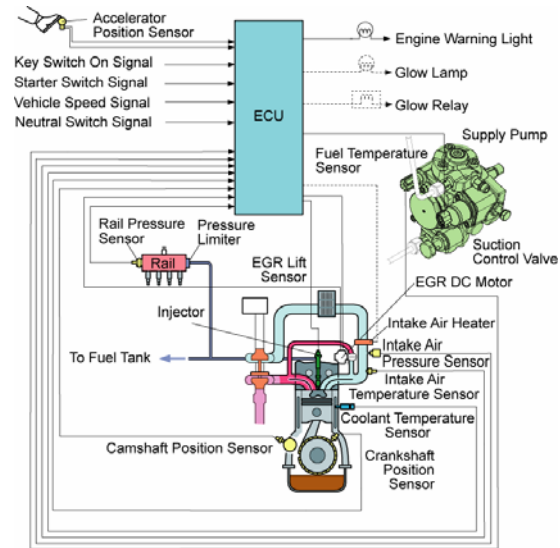
V38DICR-TIE3-S REED VALVE

Kubota

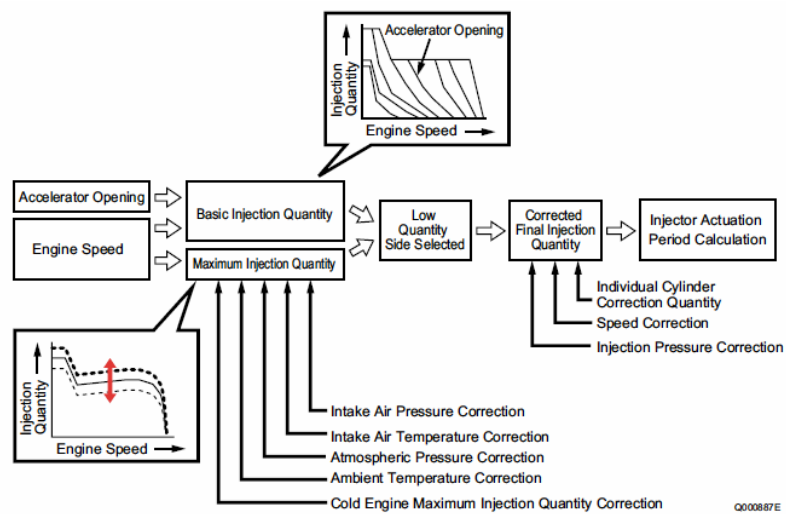
Reed Valve



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Regulations Address New Engines



- | | |
|---|-----------|
| ➤ Electric Controlled Engines: | 2007 |
| - ECU, Common rail fuel injection, EGR | |
| ➤ PM Control Aftertreatment: | 2012/13 |
| - PM filter (DPF) over 19kW engines | |
| ➤ NOx Control Aftertreatment: | 2014/15 |
| - NOx Catalyst over 57kW engines | |
| ➤ Fuel Diversity: | |
| - Low sulfur diesel (LSD) 500 ppm | 2007 |
| - Ultra low sulfur diesel (USLD) 15 ppm | 2010 |
| - Biodiesel B5 | Immediate |

Lube Oils for Next Tier Emissions engines



Fuel Type	API Engine Oil Classification	
	Non EGR Engines	External EGR Engines
High Sulfur Fuel 0.05% - 0.50% (500 - 5000ppm)	CF - If CF4, CG4, CH4, or CI4 engine oils are used with high sulfur fuel, oil change interval is cut approximately half	High Sulfur Fuel Not recommended
Low Sulfur Fuel <0.05% (500ppm) Ultra Low Sulfur <0.0015% (15ppm)	CF, CF4, CG4, CH4, or CI4	CF or CI4 – (Class CF4, CG4 and CH4 engine oils cannot be used in EGR type engines)

- CJ4 req. for DPF equipped engines (not recommended for engines without DPF)

Nonroad Diesel Fuel



ULSD

Benefits:

Allows use of Sulfur-Sensitive Control Technologies such as DOC and DPF's etc.

Challenges:

- Lower lubricity
- Plunger / in-line injection pumps, much less susceptible than Rotary types
- Fuel economy may be lowered by 2-3% (less BTU content)

Sulfur Content (ppm)	ON ROAD	OFF ROAD
3000	<1993	CURRENT
500 (0.05% wt)	1993	Jun-07
15 (0.0015% wt)	Jun-06	2010 (CURRENT IN CALIFORNIA)

Kubota "E 3" diesel engines less than 56kW (75hp) utilize EPA Tier 4 and Interim Tier 4 standards Low sulfur or Ultra low sulfur fuel is mandatory for these engines when operated in US EPA regulated areas

Biodiesel



➤ Renewable Energy Initiatives

Political desire to utilize, off-shore fuel dependency etc.

➤ Base = vegetable oil (soybean oil) in N. America

➤ No current ASTM standard anything < B100

(B100 = 100% Bio Diesel, B50 = a blend of 50% Bio Diesel / 50% traditional diesel)

B100 - ASTM standard D 6751, *Standard Spec (B100) fuel*, adopted 2002.
Blends of BIO-DIESEL ASTM D975

➤ Wide variation in fuel characteristics geographically

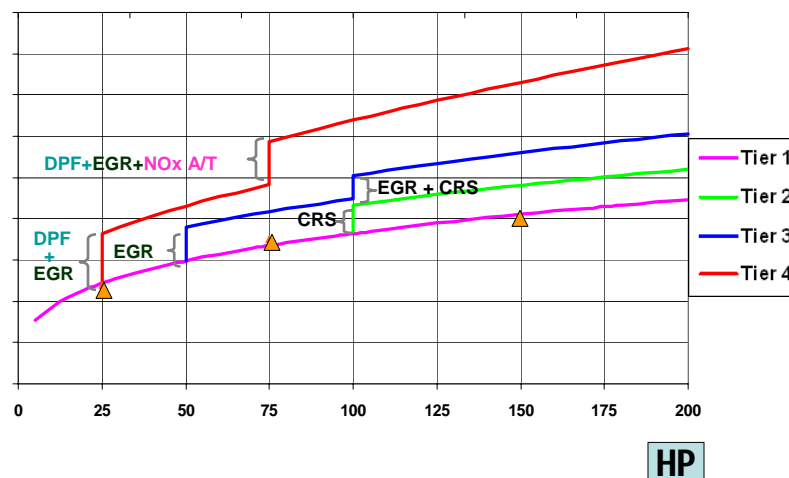
Biodiesel



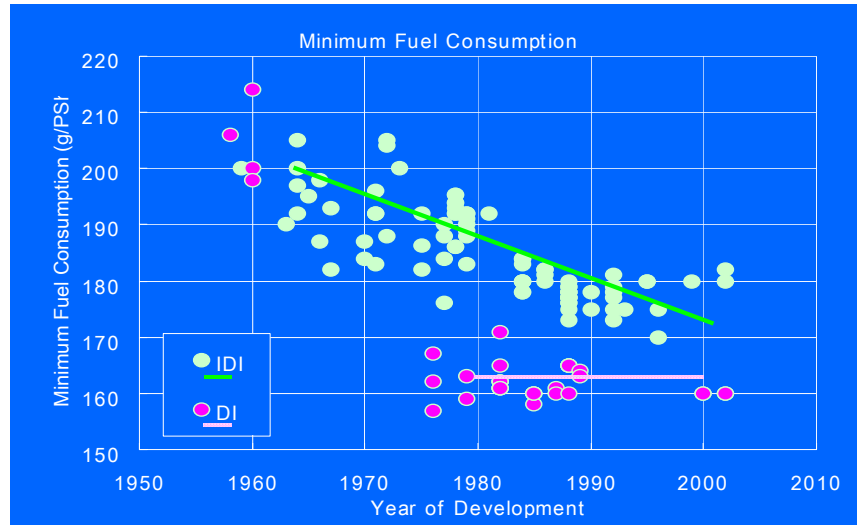
- Long term durability on engines is not fully known
- Cold weather cloud point issues - fuel heaters required
- Hygroscopic (absorbs water) fuel system corrosion
Unknown long term effect on Injection systems
- Prone to microbial contamination (plugged filters)
- Caustic, damages paint/ elastomers

Engine Impacts

(Source: EPA Preamble)



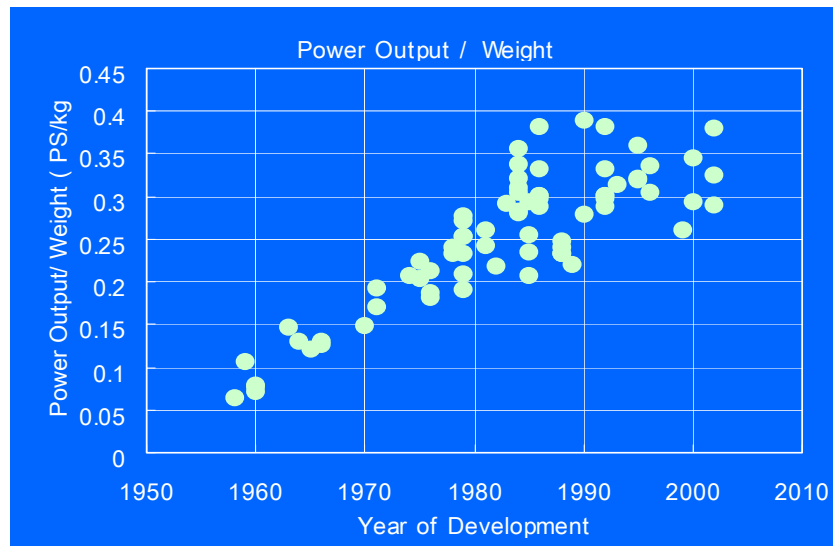
CHANGE OF KUBOTA DIESEL ENGINES POWER DENSITY



Kubota

75

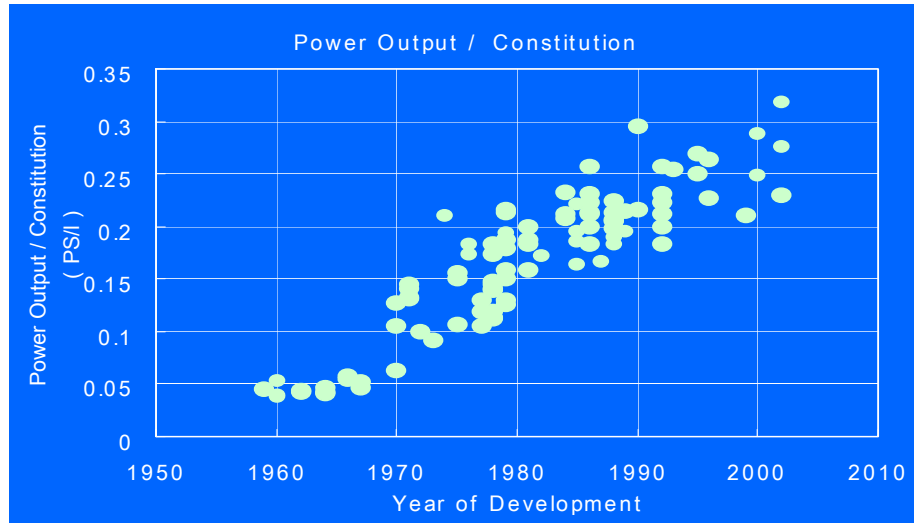
CHANGE OF KUBOTA DIESEL ENGINES POWER DENSITY



Kubota

76

CHANGE OF KUBOTA DIESEL ENGINES POWER DENSITY



77

Crankcase



D902

D905

Pistons

Kubota



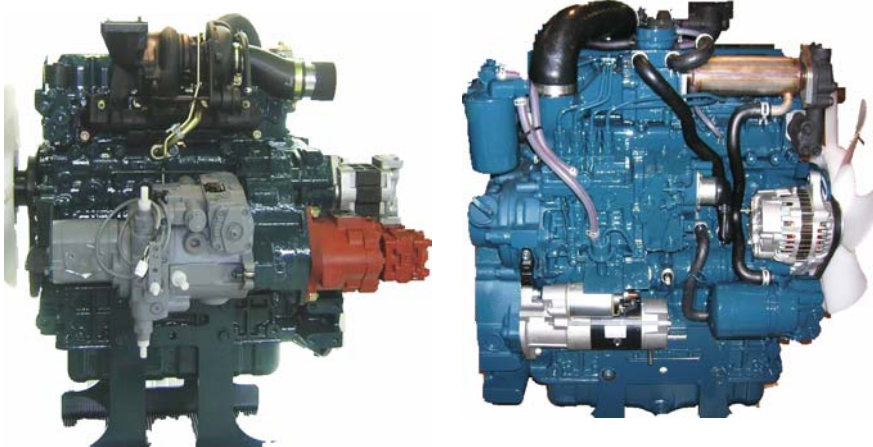
D722

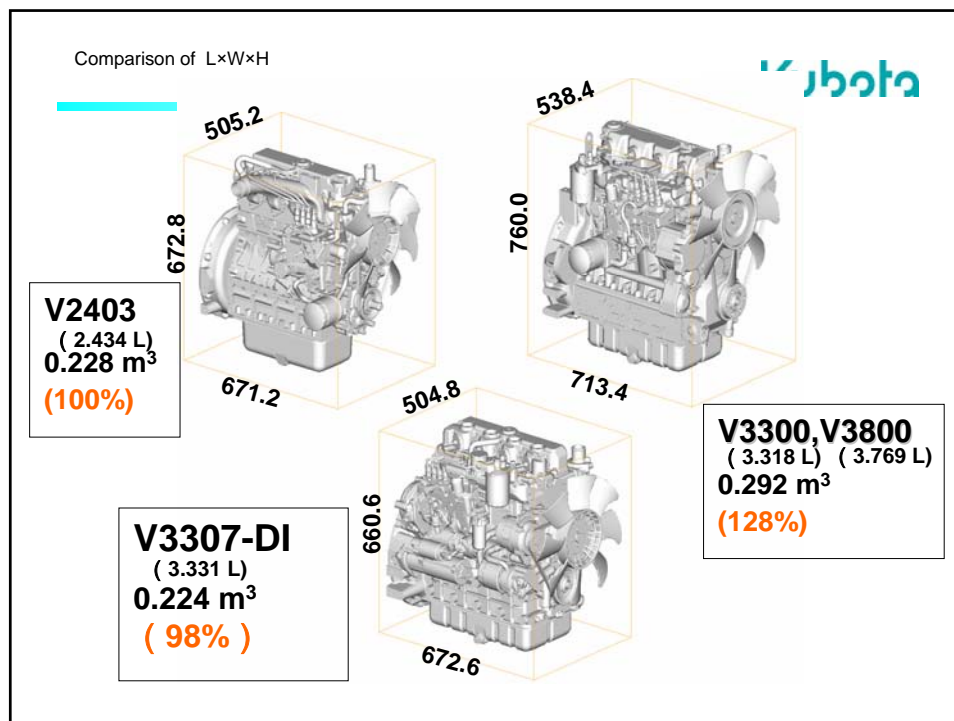
D902

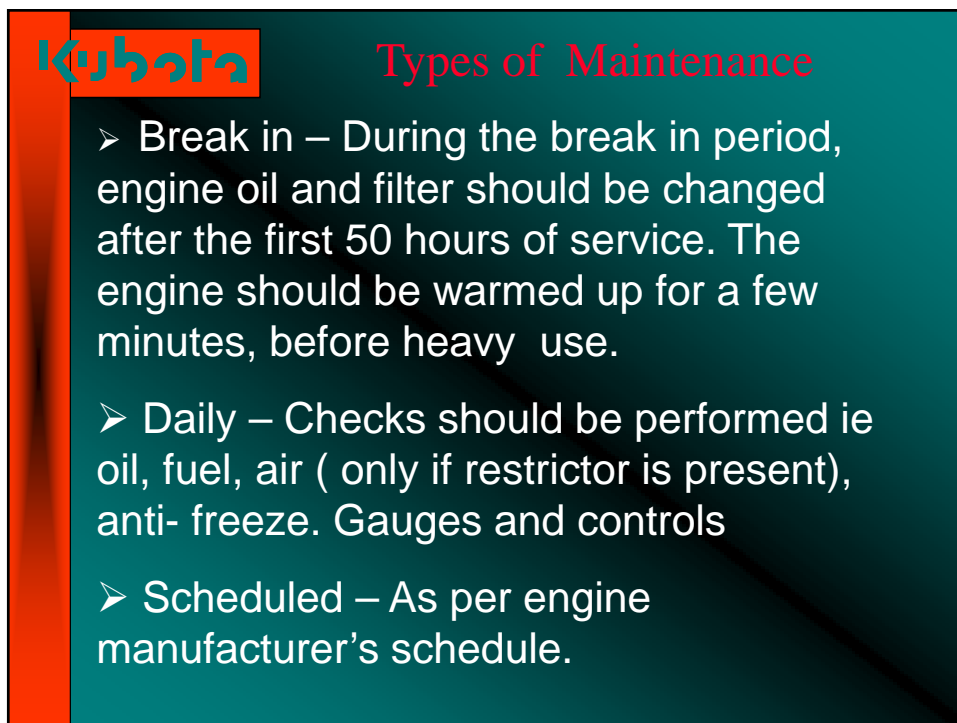
D905

V3307-DI-T

Kubota









Maintenance Practices

Questions I should be asking of my maintenance program.

Do I have one ? Or Repair as Required.

If I do , Are there accurate records ?

How are my supplies stored ?

How are my Actual vs Manufactures maintenance schedules ?



Daily Checks

Visual walk around.

- Oil or coolant leaks
- Engine oil level and contamination
- Amount of fuel- fuel level.
- Amount of coolant – coolant level
- Check that the radiator is free of obstruction
- Damaged parts, loose bolts or fasteners



In operating position.

- Are the Gauges, Meters and Pilot lamps functioning properly?
- Proper function of the glow lamps and timers.
- When starting the engine, what is the colour of the exhaust ? Excessive smoke?
- Is there unusual engine noise ?



Fluid Checks

- | | |
|--|--|
| ➤ Lubricating Oil | ➤ Fuel |
| ➤ Check Oil Level | ➤ Check Quantity |
| ➤ Too high is as bad as too low! | ➤ Check for contamination |
| ➤ Look for leaks, check date/hours of last service | ➤ Drain water from water separator if equipped |



Fluid Checks

Antifreeze/Coolant

50/50 mix is required. Higher concentrations can cause engine damage.

If low, top off with:

50/50 Antifreeze if low because of leak

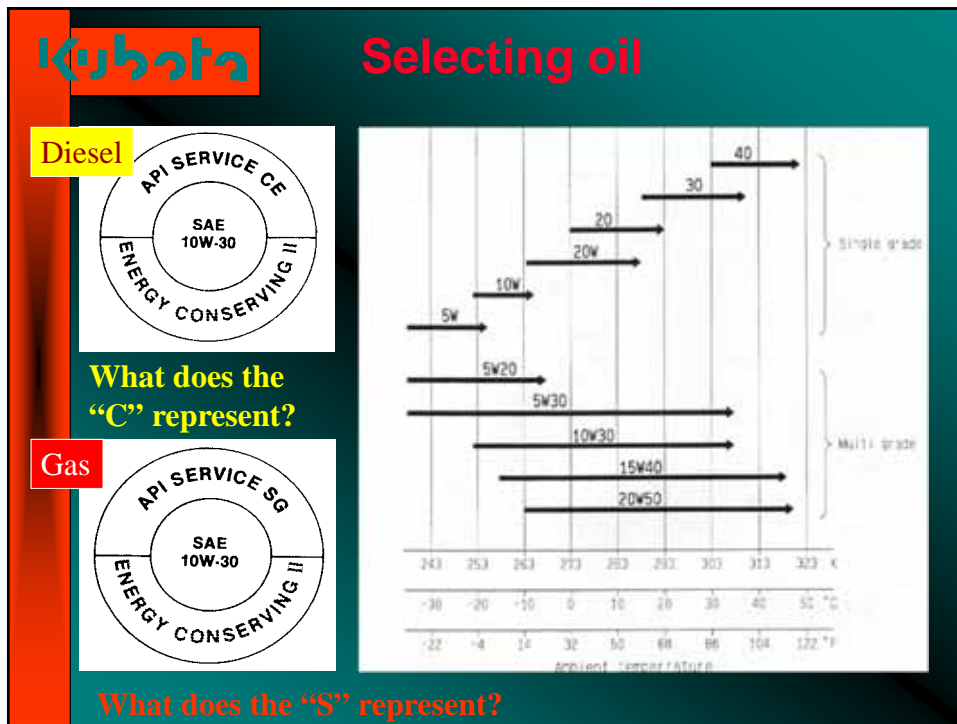
Water if low because of evaporation

Check both the radiator and recovery bottle !

With some types of leaks, the bottle could be full and the radiator empty.



OILS



Kubota **Selecting Oil**

API Classification	Application
CA	Oil suitable for the diesel engine which uses high quality fuel, and is operated under comparatively mild conditions, having bearing corrosion-preventive property and high-temperature deposit-preventive property.
CB	Oil suitable for the diesel engine which uses a higher-sulfur fuel oil, and is operated under moderately mild conditions, having the quality which can prevent corrosion or high-temperature deposit under such conditions.
CC	Oil suitable for the diesel engine which is operated under fairly severe conditions, having high-temperature deposit-preventive property, rust-preventive property, corrosion-preventive property, and low-temperature sludge-preventive property.
CD	Oil suitable for the diesel engine which is operated under severe conditions, having the properties of all the excellent performance.
CE	Oil suitable for the diesel engine, which is operated under the most severe conditions, having the property to restrain oil consumption, oil deposit, and oil viscosity increase, in addition to the properties of CD.
CF	Oil suitable for the diesel engine to be mounted on the off-road vehicles, of which fuel is a high-sulfur (0.2%) fuel.
CF-4	Oil having the high-grade performance of the CE standard, which has required heat resistance and decrease of oil consumption, according to reinforcement of the emission regulations.
CG-4	Oil suitable for the diesel engine to be mounted on the 4-cycle on-road vehicles, which is adaptable to the high-top piston ring of U.S.A., and of which fuel is a low-sulfur (0.05%) fuel.

What does the “E” in “CE” represent?
What is “CF-4”?



Selecting Oil

○: Recommendable ×: Not recommendable

Lubricating oil class	Fuel	Low sulfur	High sulfur
CF		○	○
CF-4		○	×
CG-4		○	×

**As engine oils become more
dedicated to specific applications,
PLEASE be very careful**



What happens if I do not have a regular
maintenance program ?



A presentation slide for Kubota Air Cleaner System. The slide has a dark teal background with a diagonal gradient. In the top left corner, there is a red rectangular box containing the Kubota logo. The title "Air Cleaner System" is written in a bold, red serif font to the right of the logo. Below the title, there is a paragraph of text, a numbered list of two items, and a note, all in a white sans-serif font.

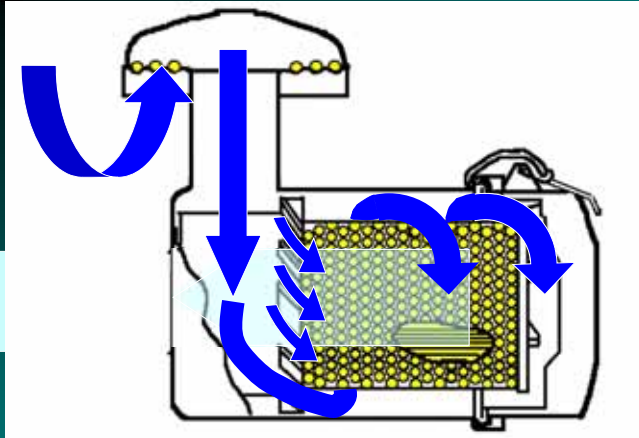
Two of the most common air cleaner servicing problems.

- 1) Over servicing : Filter are the least efficient when new. Filter elements increase in efficiency as dust builds up on the media.
- 2) Improper servicing : your engine is highly vulnerable to abrasive dust contaminants during the servicing process when the filter is removed from the housing. A leading cause of engine damage is due to careless servicing procedures.

Note : It takes about 1 table spoon of dirt to damage a Diesel engine.

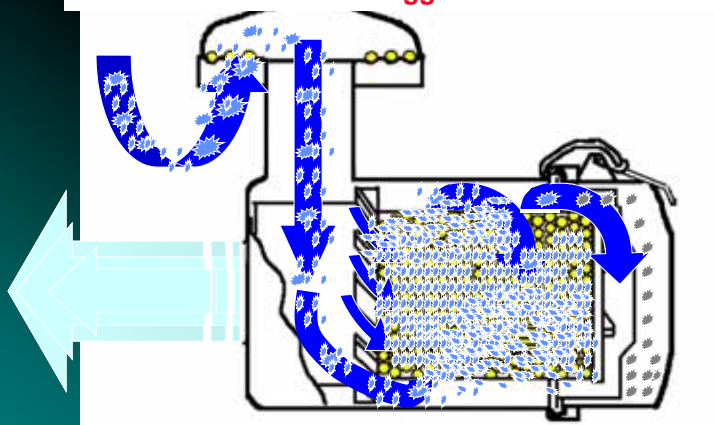
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Air Cleaners



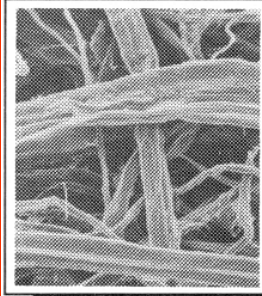
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An air filter is most efficient trapping dirt when it's 2/3 clogged!

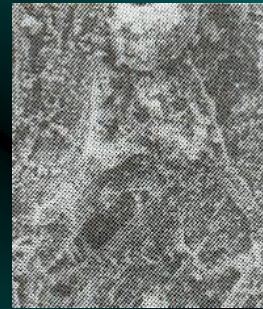
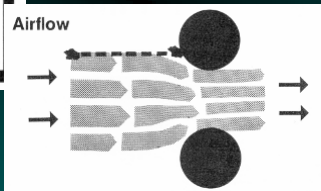




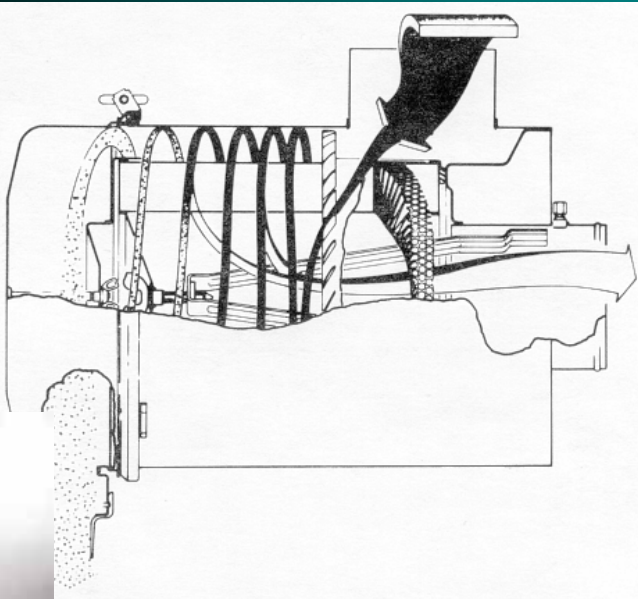
Air Cleaner Fiber



Dust particles, being denser than air, have too much momentum to go around the fibers, so the particles hit and imbed on fibers.



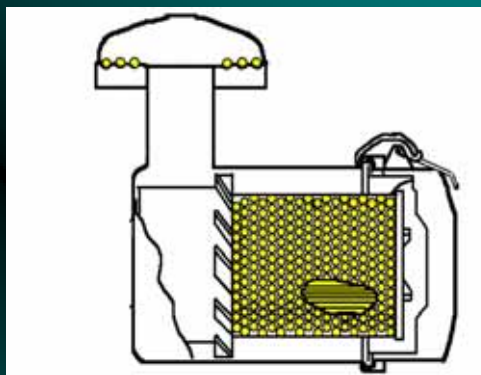
Centrifugal Type Air Cleaner





Air Cleaners

- Check the dust cup or evacuator daily (if equipped)
- Don't replace the air filter early!
- Replacing the filter at $\frac{1}{4}$ the required interval means you're 300% more likely to cause engine damage



Air Cleaner System

Centrifugal types



Baffle plate



Dust evacuator

What makes it discharge particles?



Air Intake Systems

Air Cleaner Styles (Kubota / After-market)

- Plastic / Steel / Pre-cleaners
- Single / Dual Element / Minders

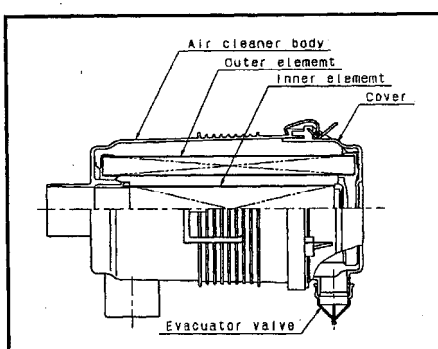


Fig. V-4. Structure of double element air cleaner



Air Cleaner System



Radial Seal type

Air filter element seats on tapered "cone" in end of canister.



Kubota **Air Cleaner System**
Conventional type



Check for good seating of sealing washer

Check for good seating of air cleaner element against base of air cleaner housing.

Kubota **Air Cleaner System**



Lip type seal,
as air intake restriction increases, lip seal tightens

Square type seal

NOT ALL SEALS ARE GOOD!
This type of seal cost big \$\$\$\$\$



Kubota **Air Cleaner System**

Inspection of element

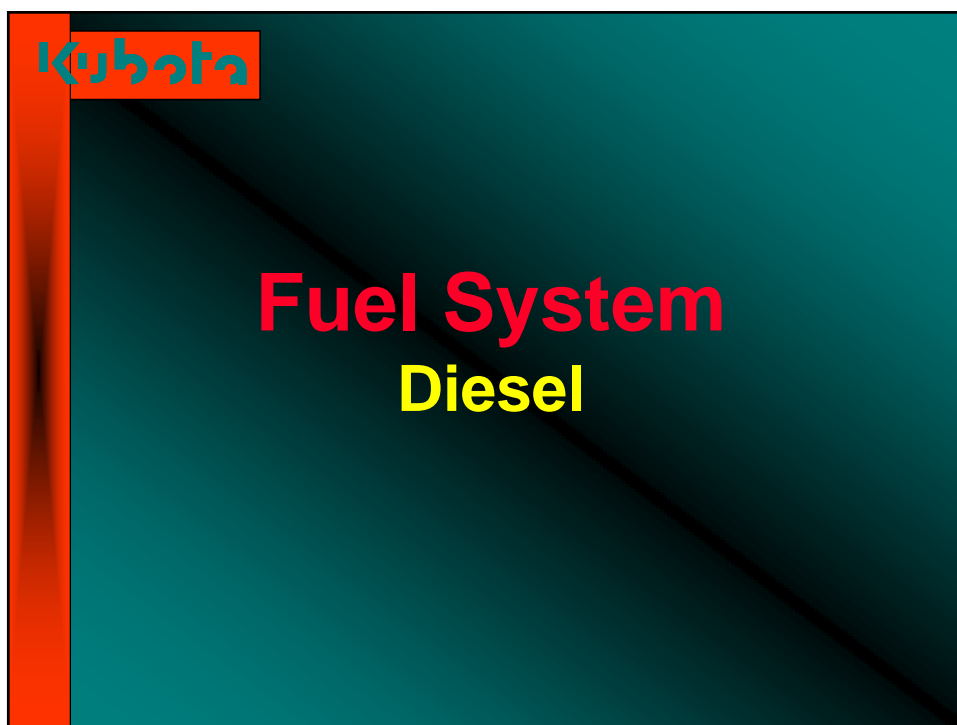
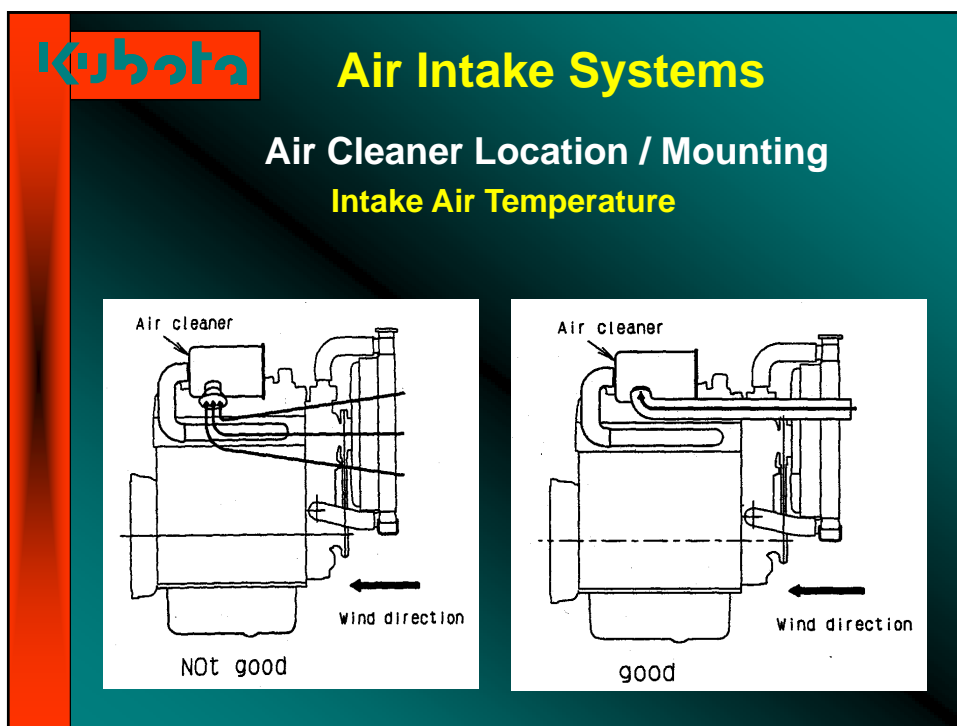
Any problems?

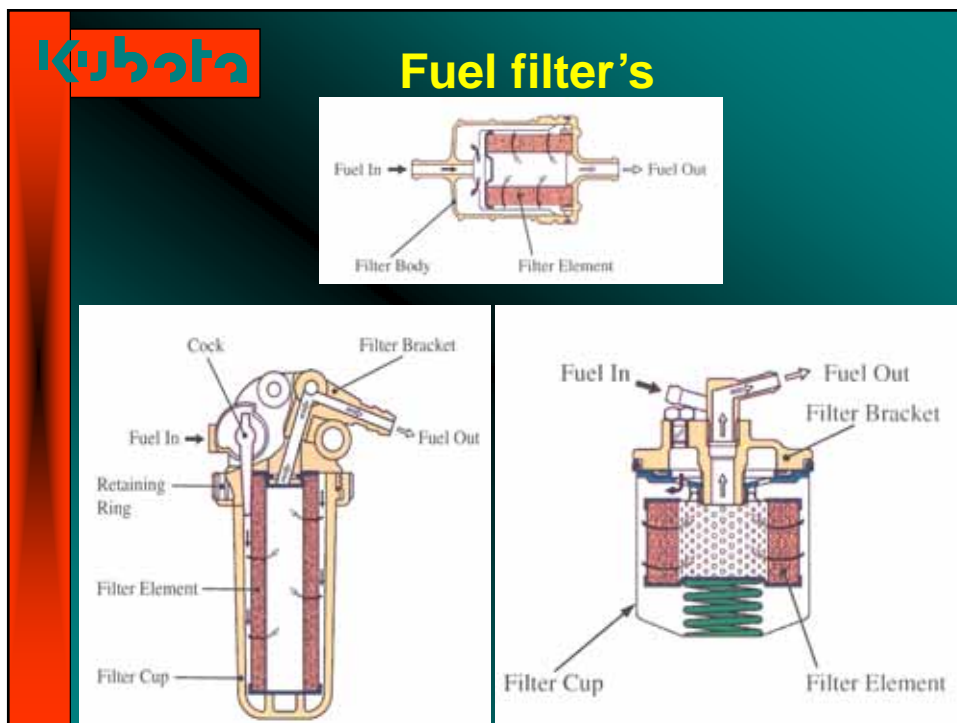
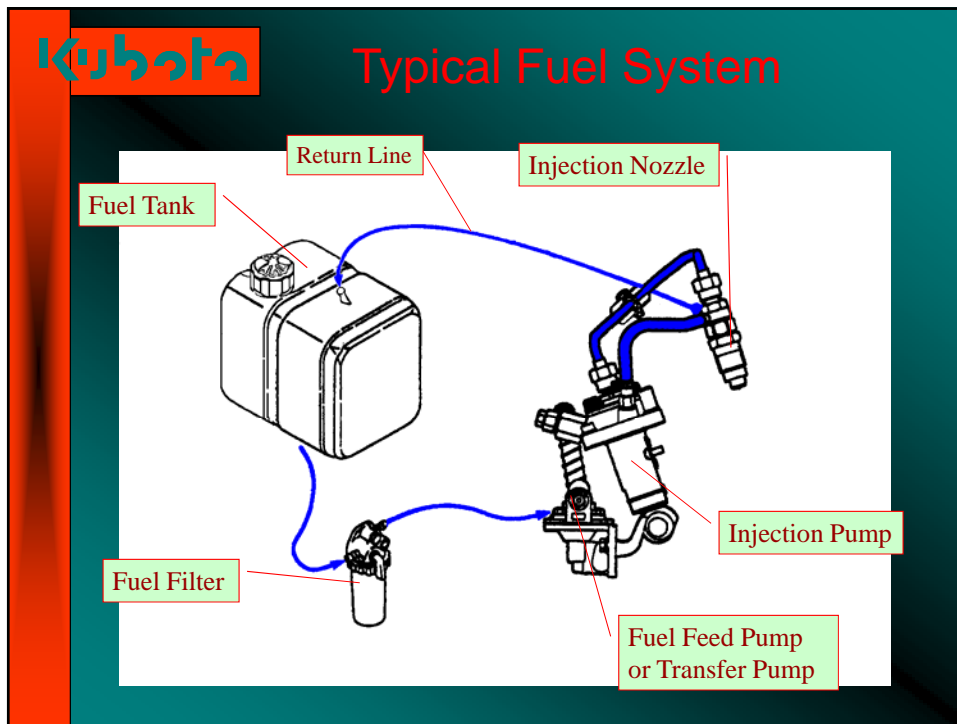


Kubota **Air Cleaner System**



Poor air inlet system security, abrasive dust can enter inlet and engine failure results.



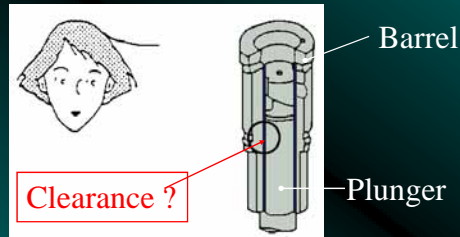




Fuel Injection Pump Quiz

1. Do you know the clearance of plunger and barrel?

The outside diameter of hair is 0.002in. (0.051mm)



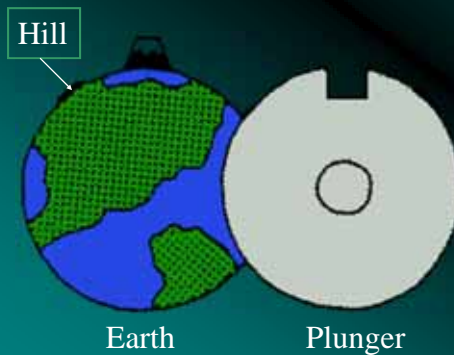
Answer: 0.00006in (0.0015mm)



Fuel Injection Pump Quiz

2. Do you know the flatness on the surface of the plunger?

If the plunger were the same size as the earth, flatness of this plunger would be the same as a 1640 foot (500m) hill.

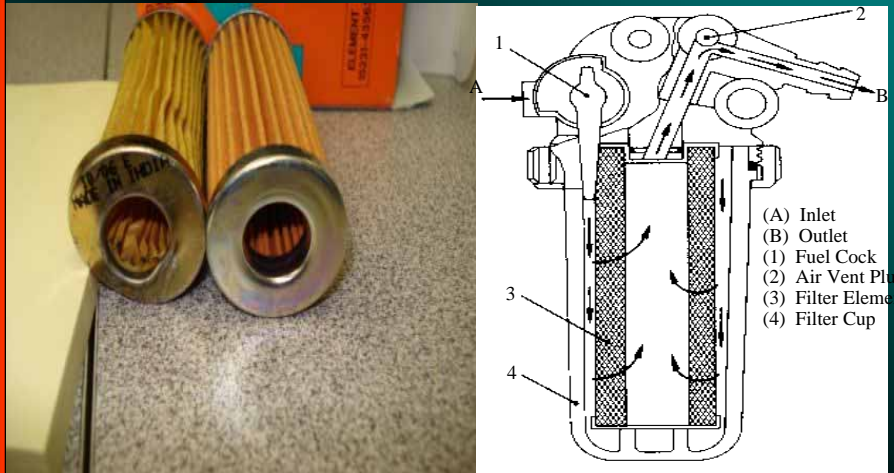


Answer: 0.00002in
(0.00051mm)



OEM VS JOBBERS

Just because the filters look the same does not mean they are!



Fuel Quality and Handling

- What type of fuel is being used ?
- How is the fuel stored ?
- Is there a water separator on the storage tanks ?
- If one is installed , How often is it serviced ?
- Are there separators on the equipment ? How are they maintained.



Fuel

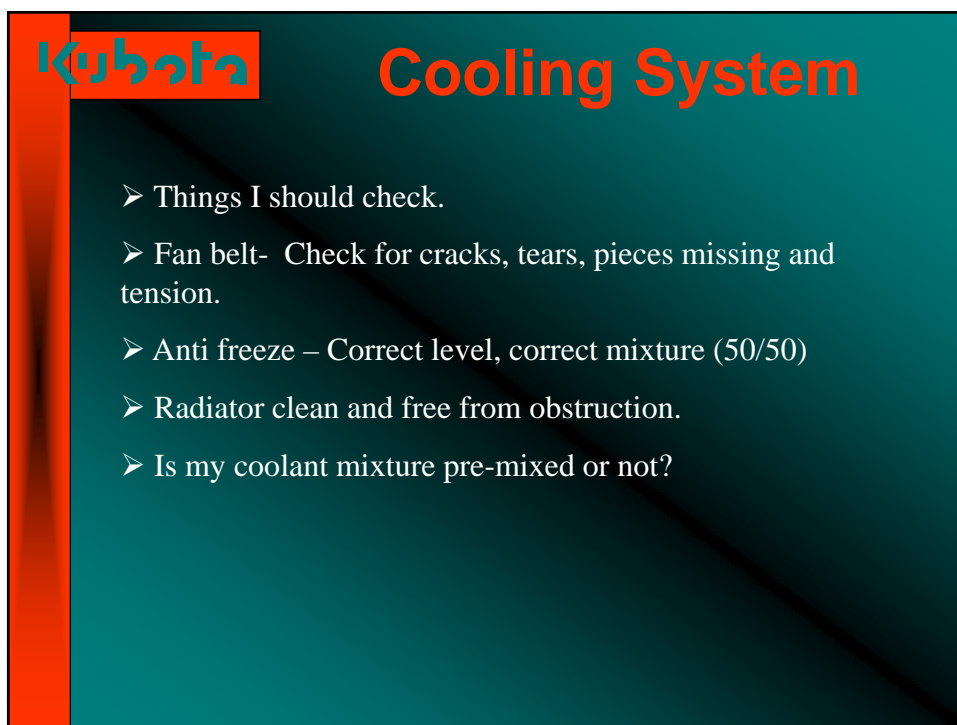
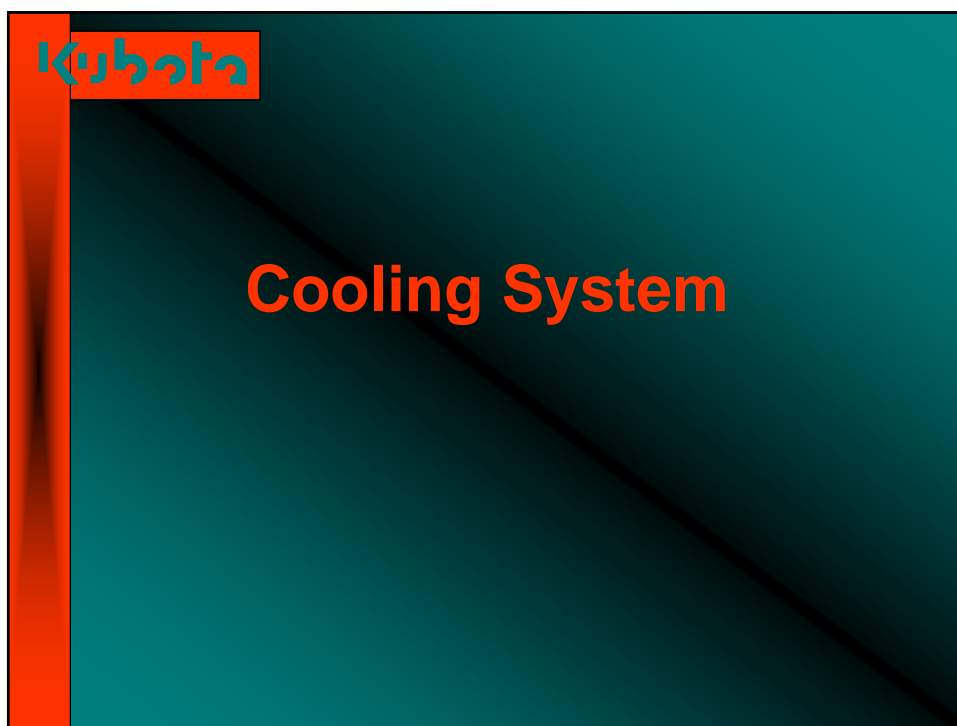
- Fuel stored in outside tanks are susceptible to condensation build up. Micro organisms can build up to plug filters destroy the injection pumps and nozzles.



Bio diesels

- Biodiesel can be blended with petroleum-based diesel. Most manufactures allow up to 20% blended fuels.
- Biodiesels are affected more by cold temperatures so heaters may have to be installed.





Kubota

Boiling Points of Coolant vs. Water

Maximum operating temperature - below thermostat = 110C (230F)
Normal operating temperature = 72 to 98C (161 to 208F)

Boiling point of coolant.

Rad cap pressure	% of water / antifreeze	Boiling point Celsius	Fahrenheit
0 psi (0 kg/cm ²)	100 % water 50 / 50	100 108	212 226
13 psi (0.9 kg/cm ²)	100 % water 50 / 50	118 126	244 259

Maximum antifreeze concentration = 60%

Kubota

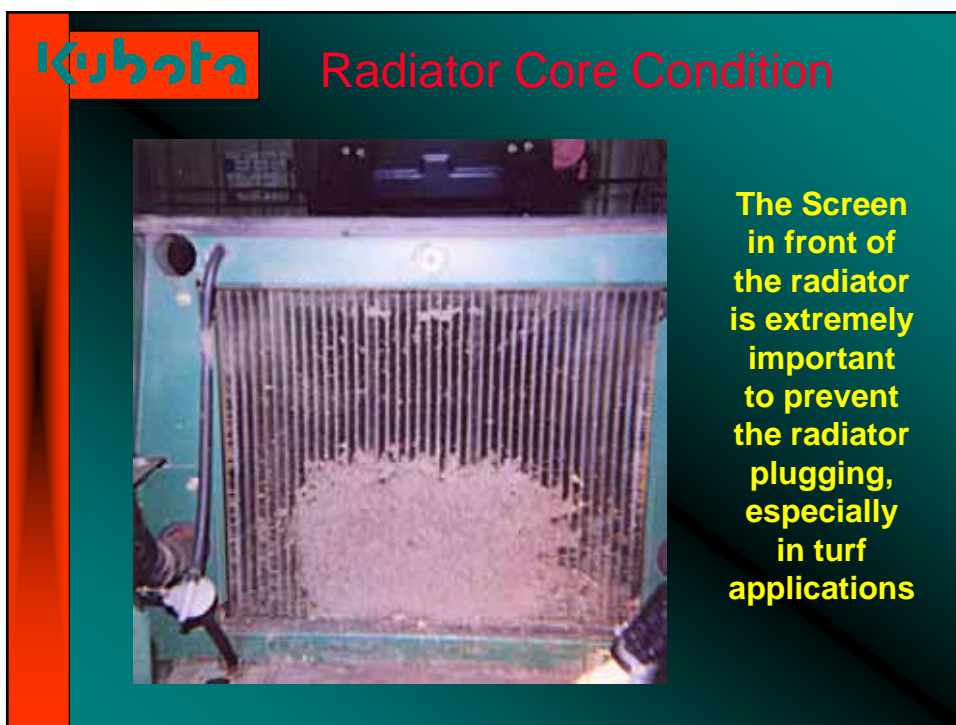
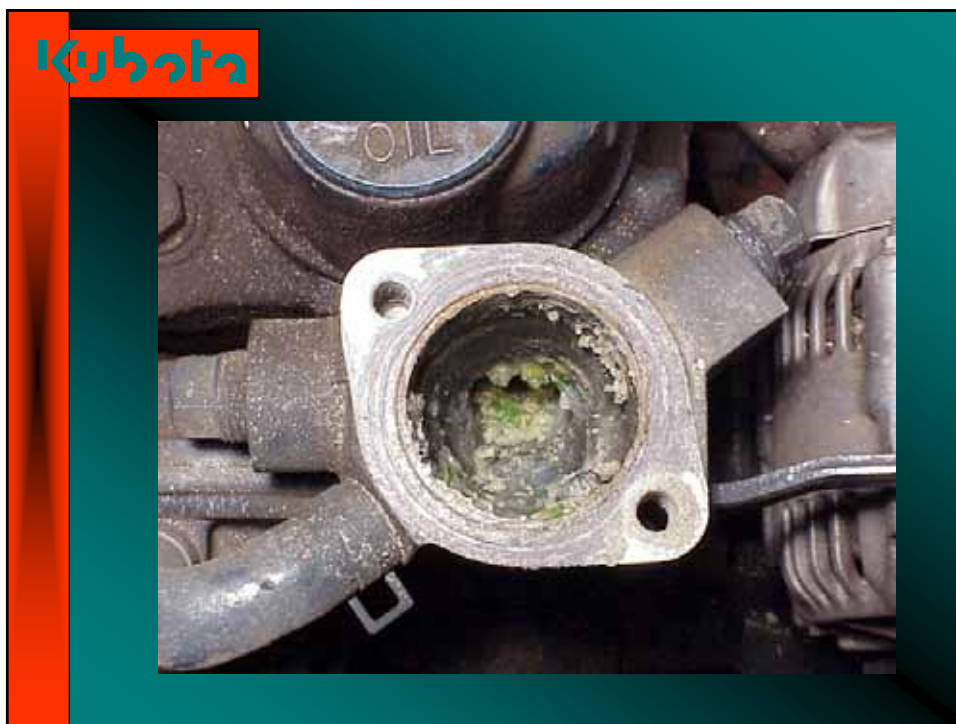
Silicate Gelation

Caused by overdosing high silicate antifreeze and supplemental coolant additives (SCA's)



plugging

Purpose of Silicate: Aluminum protection





Check for restriction BETWEEN radiator and oil coolers



Valve Adjustment

To Adjust Bridge:

- Loosen Lock Nut.
- Push down on bridge gently
- Turn Adjusting Screw until it just contacts valve stem
- Tighten Lock Nut
- Adjust valves to proper specification



Intake Valve "Bridge"



CONCLUSION

Factors that affect my engines life.

- Application
- Load factors
- Oil change interval
- Air cleaner service
- Type of fuels used
- Most important factor is “Preventive Maintenance”. NOT just repair.
- Follow manufactures recommendation on maintenance intervals.



Thank You.

MDEC

Mining Diesel Emissions Council

October 1st – 5th, 2007

WORKSHOP Diesel Particulate Filter

Fundamentals

Selection & Sizing

Retrofit & Operation

Maintenance

Presented By Glen Prisciak
DCL International Inc.

WORKSHOP

Diesel Particulate Filter

Fundamentals

Overview - Fundamentals

- What is a DPF and how does it work?
- Substrates and substrate properties
- Details of trapping mechanisms and backpressure derivatives
- DPF systems and regeneration strategies
 - Active off-board
 - Active on-board
 - Passive

WORKSHOP

Diesel Particulate Filter

Fundamentals

What is a DPF and how does it work?

- DPF – device that is designed to remove particulates from diesel exhaust stream
 - efficiencies up to 99.99% depending on PM measuring/defining
 - most commonly referenced as a mass based efficiency
 - typically > 85% efficiency by mass
 - highly dependent on S content in fuel



WORKSHOP

Diesel Particulate Filter

Fundamentals

Substrate Materials

- Cordierite ($2\text{MgO}_2 \cdot \text{Al}_2\text{O}_5 \cdot 5\text{SiO}_2$)
- Silicon Carbide (SiC)
- Aluminum Titanate
- Suppliers
 - Corning, NGK, Ividen, Saint-Gobain, Denso

Desired Properties

- | | |
|---------------------------------|---------------------------|
| • High filtration efficiency | • High strength/integrity |
| • High melting point | • Chemical stability |
| • High soot capacity | • Low weight |
| • High thermal shock resistance | • Low cost |

WORKSHOP

Diesel Particulate Filter

Fundamentals

Cordierite

- Low thermal expansion coefficient
- Good mechanical strength
- Lower) melting point (~1450°C)
- Low heat capacity

SiC

- High thermal expansion coefficient
- High melting point (~2700°C)
- High heat capacity



Courtesy: www.ngk.co.jp

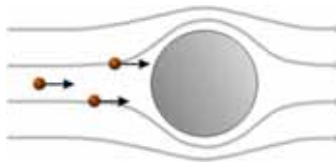
WORKSHOP

Diesel Particulate Filter

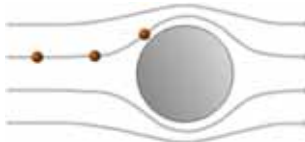
Fundamentals

Trapping Mechanisms

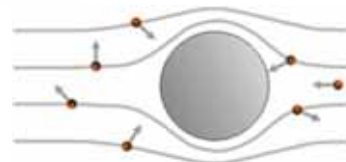
- Inertial Impaction



- Interception



- Diffusional Deposition
(Brownian Diffusion)



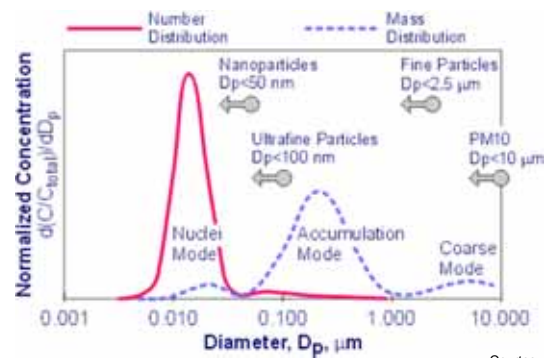
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Diesel Particulate Filter

Fundamentals

Particle Size in Perspective

- Typical DPF pore size is $\sim 10\text{-}20\text{ }\mu\text{m}$
- Typical diesel exhaust PM size distribution is:



WORKSHOP

Diesel Particulate Filter

Fundamentals

To put it in perspective

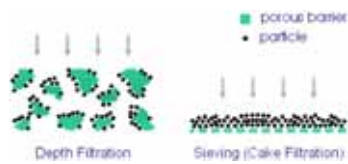


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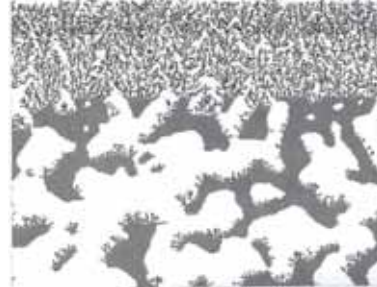
Diesel Particulate Filter

Fundamentals

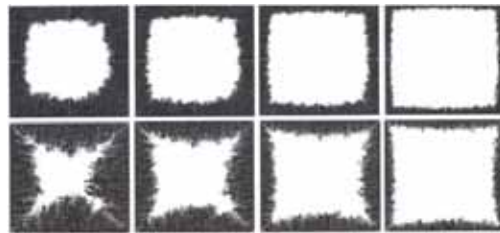
Depth Bed vs. Cake Filtration



Courtesy: www.dieselnet.com



Courtesy: Konstandopoulos, A., Masoudi, M.



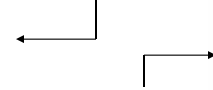
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Diesel Particulate Filter

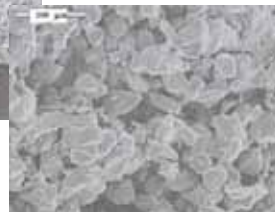
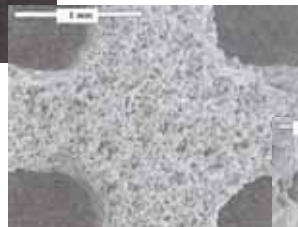
Fundamentals



Computational Model



Inverse Computational Model



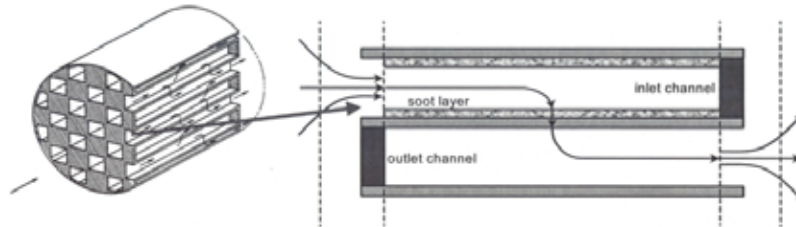
Courtesy: Konstandopoulos, A., Masoudi, M.

WORKSHOP

Diesel Particulate Filter

Fundamentals

What Creates Backpressure?



Courtesy: Konstandopoulos, A., Masoudi, M.

$$\Delta P_{\text{TOTAL}} = \Delta P_{\text{Contraction}} + \Delta P_{\text{Channel}} + \Delta P_{\text{Soot}} + \Delta P_{\text{Wall}} + \Delta P_{\text{Channel}} + \Delta P_{\text{Expansion}}$$

WORKSHOP

Diesel Particulate Filter

Fundamentals

Finally DPFs as a System

- Idea is to integrate the substrate into a final package that is both functional in terms of installation and operation

What is available in the marketplace?

To refresh your memory

- Passive
- Active On-Board/Off-Board

WORKSHOP

Diesel Particulate Filter

Fundamentals

What is available in the market?

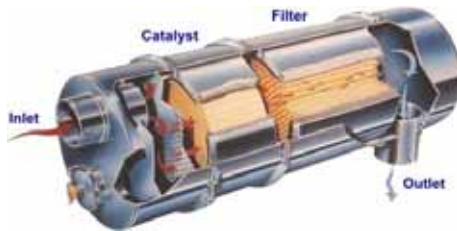
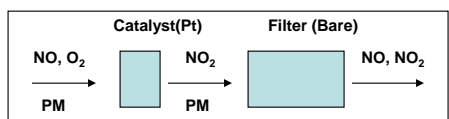
• Passive Regeneration

- Soot regeneration temperature is 550-600 deg-C but can be reduced significantly when filter block is coated with oxidation catalyst
- Soot regeneration rate is enhanced by adding special ingredient to wash-coat composition - promoters
- Two oxidation mechanisms;
 - NO_2 Oxidation
 - High loading DOC is upstream the DPF to produce NO_2 from the ~90% engine out NO
 - NO_2 oxidizes soot at low temperatures: 270-320 deg-C
 - NO_2 consumption depends on soot load and that makes tailpipe NO_2 emissions uncontrolled
 - O_2 Oxidation
 - Two catalyst families;
 - Precious Metal Catalyst: reduce BPT to 280-350 deg-C
 - Base Metal Catalyst: reduce BPT to 375-420 deg-C
 - Fuel Born Catalyst (Additives)

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Diesel Particulate Filter

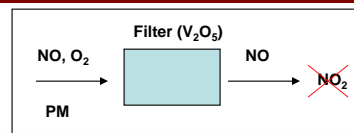
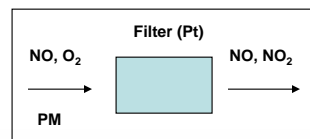
Fundamentals



Unpredictable in NO_2 output

→ Generally high

→ Higher in CCRT



WORKSHOP

Diesel Particulate Filter

Fundamentals

What is available in the market?

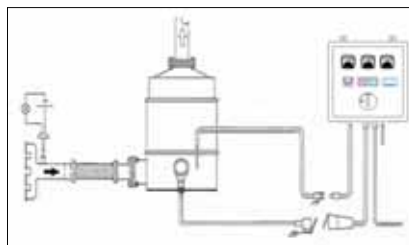
• Active Regeneration

- On-board automated active (passive forced regeneration)
 - Diesel burner is triggered by DPF differential pressure at target engine modes
 - Diesel fuel post injection (manifold) to produce exothermic condition over heavy loaded DOC to force the DPF regeneration
- Off-board manual active – Shift End
 - Diesel Burner is triggered manually at the end of each shift
 - Electrical Heater is triggered manually at the end of each shift

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Diesel Particulate Filter

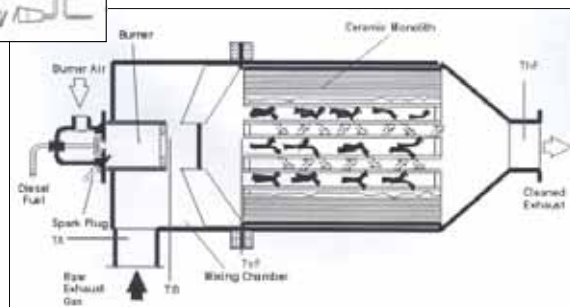
Fundamentals



← Active On-Board



↑ Active Off-Board



Courtesy: Konstandopoulos, A., Masoudi, M.

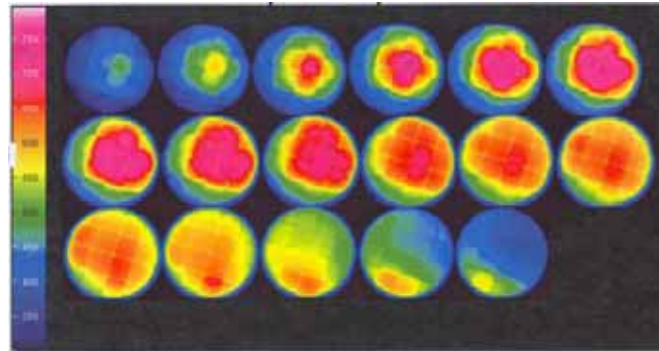
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Diesel Particulate Filter

Fundamentals

Regeneration

- In itself most important aspect of a functional DPF system



Courtesy: Konstandopoulos, A., Masoudi, M.

MDEC

Mining Diesel Emissions Council

October 1st – 5th, 2007

WORKSHOP Diesel Particulate Filter

Fundamentals

Selection & Sizing

Retrofit & Operation

Maintenance

Presented By Ted N Tadrous
Engine Control Systems Limited

WORKSHOP

Diesel Particulate Filter

Selection & Sizing

So you've determined you need a DPF!

To qualify your application for DPF retrofit – you need to determine;

- Duty cycle
 - Exhaust temperature profile
 - Shift pattern & duration
- Certified engine out PM level
- Available space envelope
- Sulfur level in diesel fuel
- Emission contribution of application as part of a fleet/shift
- Operation sensitivity to NO₂ formation and/or ambient levels
- NOx / PM Ratio [>20 is desired]

WORKSHOP

Diesel Particulate Filter

Selection & Sizing

- Selection is based on duty cycle & operation logistics

- Shift duration & pattern
- Exhaust temperature traces for at least 3 days to determine repeated duty cycle.
- Analysis of exhaust temperature trace & histogram
- Duration of idling & low load condition

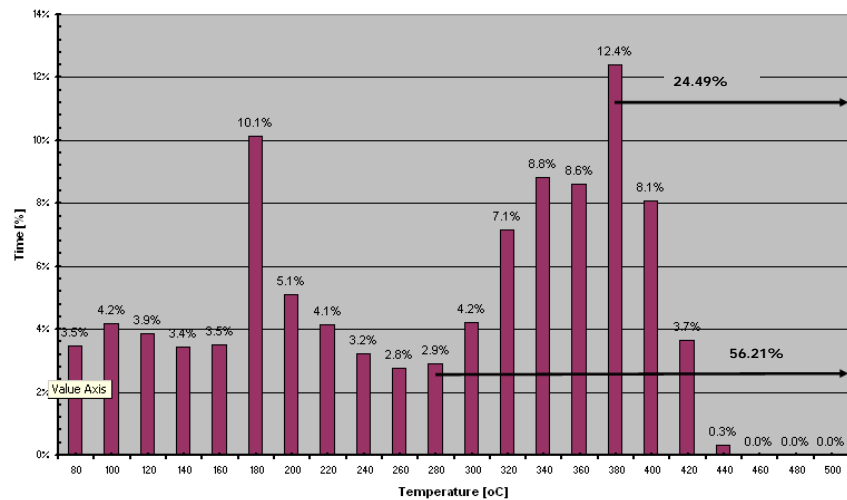
Bare DPF	Base Metal	Precious Metal	Active
>550°C	380-420°C	280-320°C	NA

WORKSHOP

Diesel Particulate Filter

Selection & Sizing

Temperature Histogram
Typical Scoop Tram



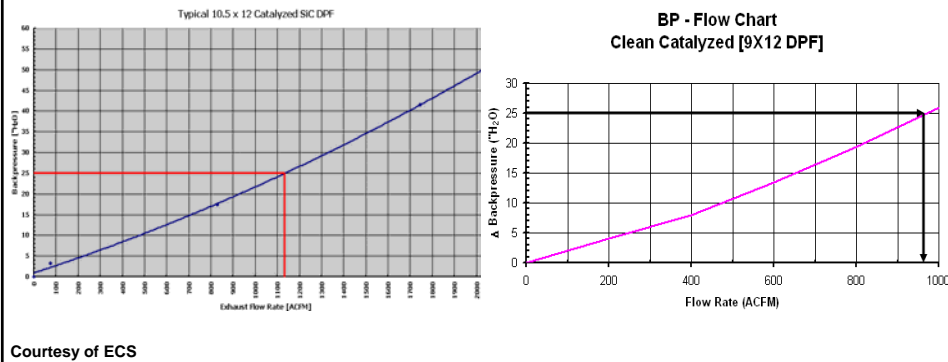
WORKSHOP

Diesel Particulate Filter

Selection & Sizing

Sizing is based on engine parameters & PM emission level

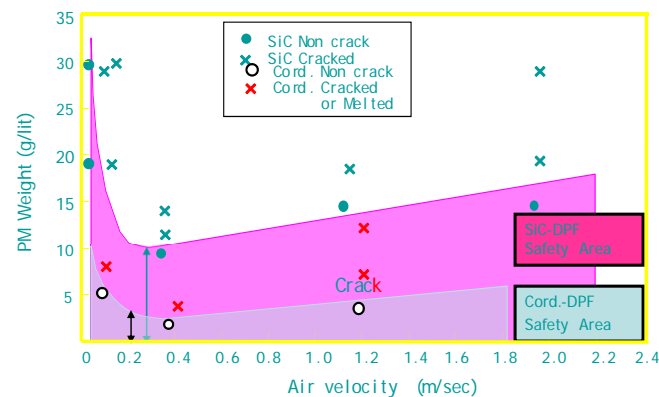
- PDF capacity to accommodate max exhaust flow causing minimum restriction leaving room for soot accumulation
- More soot accumulation >>> Larger DPF volume
- SiC has slightly more soot capacity than Cordierite



WORKSHOP

Diesel Particulate Filter

Selection & Sizing



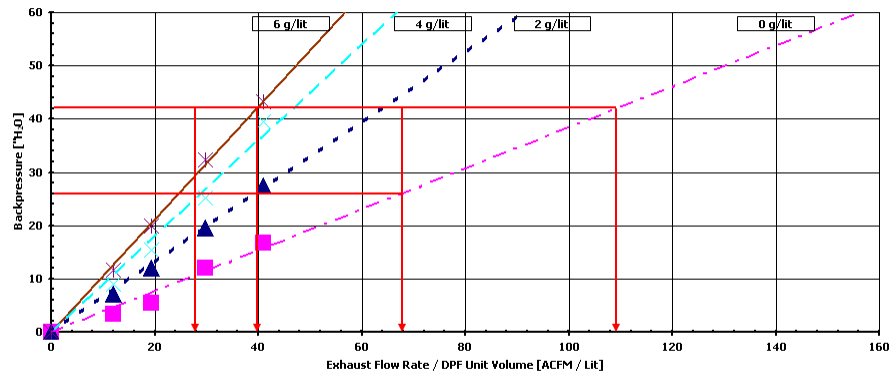
Courtesy of Ibdien

SiC limit is 8 g/lit soot loading while Cordierite has 6 g/lit capacity

WORKSHOP

Diesel Particulate Filter Selection & Sizing

Effect of Soot Loading on Backpressure - Cordierite DPF



- + Max safe soot loading is 6 g/lit for Cordierite DPF material
- + For 27" WG BP Engines, Use 20 - 28 ACFM/Lit ratio for soot loading range 2 - 4 g/lit
- + For 42" WG BP Engines, Use 28 - 40 ACFM/Lit ratio for soot loading range 2 - 4 g/lit

Courtesy of ECS

DPF System Supplier is Responsible for Sizing

- Using engine data sheet, obtain;

- Exhaust flow rate @ rated power,

$$\text{ExhaustFlowRate[ACFM]} = \frac{\text{EngineDisp[CID]}}{1728} \times \frac{\text{RPM}}{2} \times \eta_{vol} \times \frac{\text{ExhTemp[oK]}}{\text{AmbTemp[oK]}} \times \frac{P_{atm}}{P_{esh}}$$

- Maximum allowable backpressure
- Specific PM emission

- Using DPF System Sizing Guidelines / Tool;

- Enter exhaust flow @ rated power, and
- PM specific emission [g/bhp-hr]
- Select the model corresponding to max allowable BP

WORKSHOP
Diesel Particulate Filter
Selection & Sizing
Snapshot of a typical engine data sheet

Gaseous Emissions per 13 mode steady state test (ECE 49 and 88/77/EEC). The levels of exhaust emissions have been designed, and demonstrated on one or more engines in a test cell, to be equal or below the following figures:

—Weight-Specific NO _x	— g/kW-hr (g/bhp-hr.)	0.46	(6.31)
—Weight-Specific HC	— g/kW-hr (g/bhp-hr.)	0.42	(0.31)
—Weight-Specific CO	— g/kW-hr (g/bhp-hr.)	0.6	(0.45)
—Weight-Specific Particulates	— g/kW-hr (g/bhp-hr.)	0.174	(0.13)

INTERMITTENT

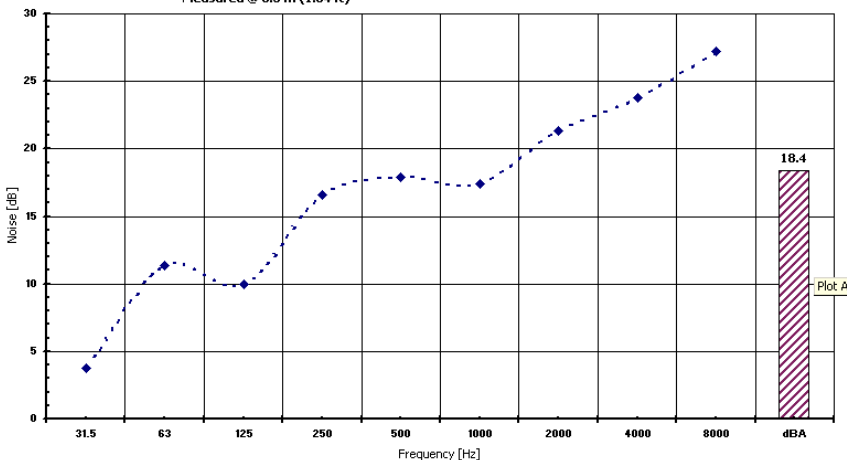
Fuel Rating Option used for this data: FR-90001

Engine Speed	— rpm	2500	1500
Gross Power Output	— kW (bhp)	149 (200)	128 (171)
Torque	— N·m (lb.-ft.)	570 (420)	814 (600)
Intake Manifold Pressure	— mm Hg (in. Hg)	1320 (52)	940 (37)
Motoring Friction Horsepower	— kW (hp)	28 (37)	9 (12)
⁽¹⁾ Turbocharger Compressor Outlet Pressure	— mm Hg (in. Hg)	N/A	N/A
Intake Air Flow	— litre/sec. (cfm)	240 (509)	126 (268)
⁽¹⁾ Charge Air Flow	— kg/min. (lb./min.)	N/A	N/A
Exhaust Gas Flow	— litre/sec. (cfm)	609 (1292)	356 (755)
⁽¹⁾ Turbocharger Compressor Outlet Temperature	— °C (°F)	N/A	N/A
Exhaust Gas Temperature - Dry Stack	— °C (°F)	520 (968)	550 (1022)
Heat Rejection to Ambient (Dry Manifold)	— kW (BTU/min.)	20.9 (1190)	12.0 (680)
Heat Rejection to Coolant (Dry Manifold)	— kW (BTU/min.)*	101.2 (5756)	68.4 (3888)
Heat Rejection to Fuel	— kW (BTU/min.)	0.8 (45)	0.3 (15)
Engine Coolant Flow @ 35 kPa (5.0 psi) ΔP External Cooling Circuit Resistance	— litre/sec. (U.S. gpm)*	3.4 (54)	2.0 (32)
⁽²⁾ Min. Aftercooler Radiator Coolant Flow:			
— with Open Thermostat at Max. Engine Coolant Out. Temp.	— litre/min. (U.S. gpm)	TBD	TBD
— @ 25°C (77 °F) Ambient	— litre/min. (U.S. gpm)	TBD	TBD
⁽²⁾ Max. Coolant Temperature from Aftercooler Radiator @ 25°C (77 °F) Ambient	— °C(°F)	TBD	TBD
Altitude Limitations:			
— Intermittent	— m (ft.)	3048 (10,000)	3048 (10,000)
— Continuous	— m (ft.)	2255 (7400)	2255 (7400)

RATED	POWER POINT	PEAK TORQUE
2500		1500
149 (200)		128 (171)
570 (420)		814 (600)
1320 (52)		940 (37)
28 (37)		9 (12)
N/A		N/A
240 (509)		126 (268)
N/A		N/A
609 (1292)		356 (755)
N/A		N/A
520 (968)		550 (1022)
20.9 (1190)		12.0 (680)
101.2 (5756)		68.4 (3888)
0.8 (45)		0.3 (15)
3.4 (54)		2.0 (32)
TBD		TBD
TBD		TBD
TBD		TBD
3048 (10,000)		3048 (10,000)
2255 (7400)		2255 (7400)

WORKSHOP
Diesel Particulate Filter
Selection & Sizing

SIC DPF Noise Attenuation Spectra
Test Engine: DDC 550 Diesel Engine
Test Conditions: 1500 ACFM Max Exhaust Flow
42" H₂O Backpressure:
Measured @ 0.5 m (1.64 ft)

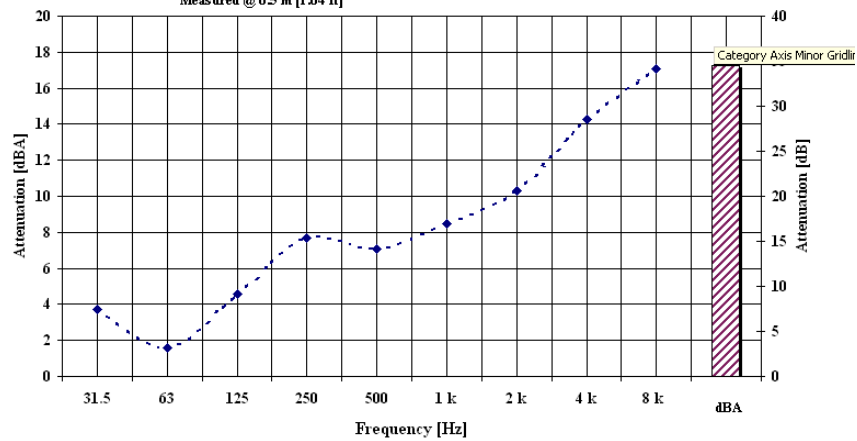


Courtesy of ECS

WORKSHOP

Diesel Particulate Filter Selection & Sizing

Cordierite Noise Attenuation Spectra
Test Engine: DEUTZ F8 413 FW - 150 hp @ 2200 rpm
Test Conditions: 915 ACFM Exhaust Flow
25" H₂O Backpressure
Measured @ 0.5 m [1.64 ft]



Courtesy of ECS

/// dBA

- ♦ - Attenuation dB

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Mining Diesel Emissions Council

October 1st – 5th, 2007

WORKSHOP Diesel Particulate Filter

Fundamentals

Selection & Sizing

Retrofit & Operation

Maintenance

Presented By Don Malgast
Engine Control Systems Limited

WORKSHOP

Diesel Particulate Filter

Retrofit & Operation of your DPF System:

- Application specific requirements such as surface temperature, ...etc.
- Vertical or horizontal orientation may be critical from a DPF system design or application vibration view point
- Following the manufacturer installation guidelines is critical
- Opacity baseline for tuned engine prior retrofit is important
- DPF system must include performance diagnostic kit including alarms
- Operator Training

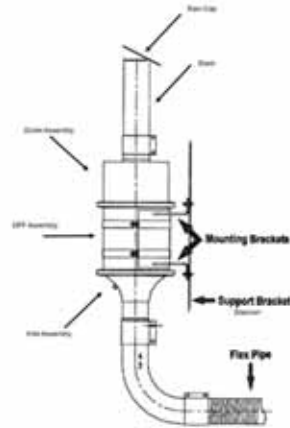
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Diesel Particulate Filter

Retrofit & Operation of your DPF System:

Vertical Mount (Stack)

- Locate best position for the DPF assembly. This usually is in the location of the existing silencer/muffler.
- Remove the existing silencer/muffler.
- Support the DPF assembly at the filter center body using mounting brackets.
- Mount support brackets securely to frame or cab stanchion.
- Install exhaust flex pipe between inlet of DPF and exhaust pipe 24" from engine, to absorb vibration and cab movement.
- Install a Backpressure Monitor Kit as per manufacturer recommendation.



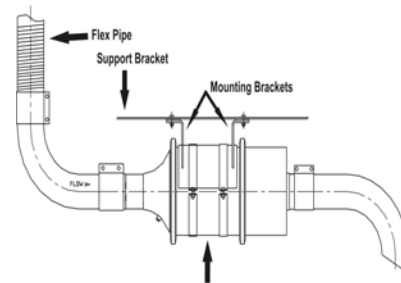
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Diesel Particulate Filter

Retrofit & Operation of your DPF System:

Under Chassis Mounting

- Locate the best position for the DPF assembly. This is in the location of the existing silencer/muffler.
- Remove the existing silencer/muffler.
- Support the DPF assembly at the filter center body using mounting brackets.
- Mount support brackets securely to chassis.
- Install exhaust flex pipe between inlet of DPF and exhaust pipe 24" from engine to absorb vibration.
- Install a Backpressure Monitor Kit as recommended by manufacturer.



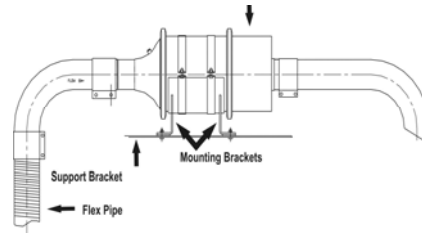
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Diesel Particulate Filter

Retrofit & Operation of your DPF System:

Horizontal Mounting

- Locate the best position for the DPF assembly. This is in the location of the existing silencer/muffler.
- Remove the existing silencer/muffler.
- Install DPF in place of the original muffler.
- Support the DPF assembly at the filter center body using mounting brackets.
- Mount support brackets securely to deck or fender
- Install exhaust flex between inlet of DPF and exhaust pipe 24" from engine to absorb vibration.
- Install a Backpressure Monitor Kit as recommended by manufacturer.



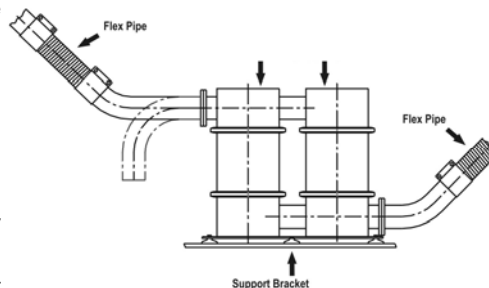
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Retrofit & Operation of your DPF System:

Vertical Dual Mounting

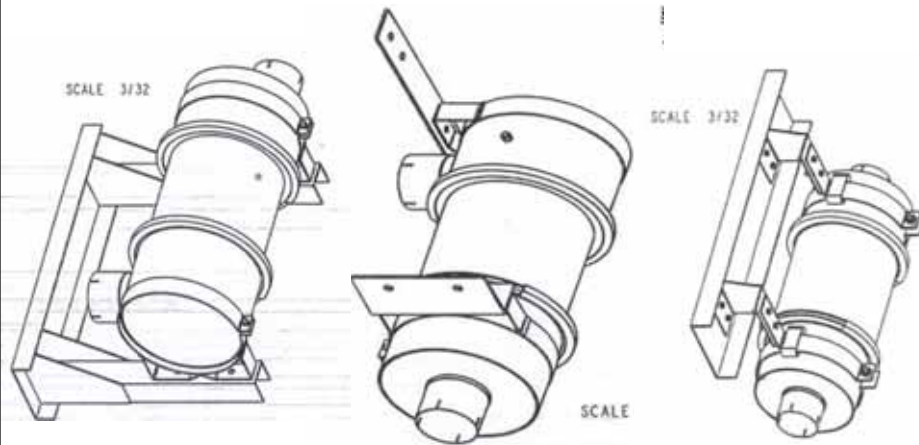
- Locate the best position for the DPF assembly. This is in the location of the existing silencer/muffler.
- Remove the existing silencer/muffler.
- Install DPF in place of the original muffler
- Mount support brackets securely to deck
- Install exhaust flex between inlet of DPF and exhaust pipe 24" from engine to absorb vibration.
- Install the Backpressure Monitor Kit as recommended by manufacturer.



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Diesel Particulate Filter

Retrofit & Operation of your DPF System:



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Diesel Particulate Filter

Retrofit & Operation of your DPF System:

Performance monitoring is essential to protect both the engine and DPF;

- Continuous monitoring for back pressure and alarming for excessive levels will help indicate cleaning requirement
- Continuous monitoring of duty cycle help explain DPF performance and prevent premature service and down time



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Mining Diesel Emissions Council
October 2nd, 2007

WORKSHOP Diesel Particulate Filter

Fundamentals

Selection & Sizing

Retrofit & Operation

Maintenance

Presented By John Stekar
Catalytic Exhaust Products Limited

WORKSHOP

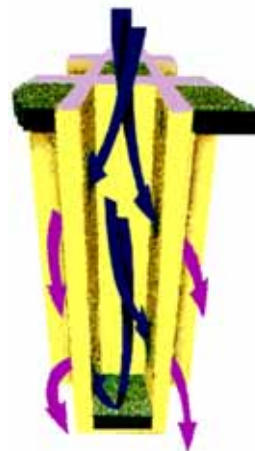
Diesel Particulate Filter

Maintenance of your DPF System:

Regardless of the regeneration strategy, DPF restriction will increase over time due to the accumulation of the ash inside the filter and compaction of un-combusted soot.

Diesel Particulate Filters (DPF) and Diesel Oxidation Catalysts (DOC) collect diesel particulate matter and inorganic based exhaust constituents. Inorganic based exhaust constituents are typically known as ash. Ash does not oxidize into a gaseous state as does diesel particulate matter. Ash forms oxides and sulphates which are stored within the DPF.

An essential component to effective use and safeguard the filter is to remove the ash occasionally to avoid sintering with DPF material under exothermic regeneration conditions.



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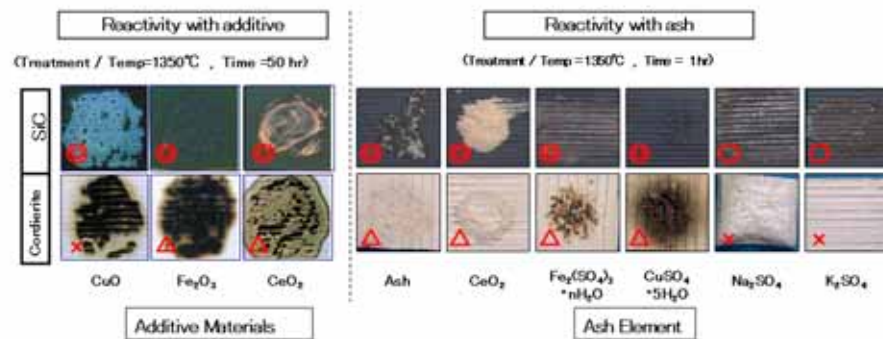
Diesel Particulate Filter

Maintenance of your DPF System:

Sources of Inorganic based Ash:

- diesel engine exhaust system corrosion (iron)
- diesel engine metals and engine component wear (copper, tin, aluminum, silicon, chromium, nickel and iron)
- oxidized engine crankcase lubrication oil. (sulphur, calcium, zinc, magnesium and phosphorus)
- by-products of diesel fuel additives (cerium, platinum and iron).

Why Clean the DPF?



⊙ : Not damaged ○ : deformed △ : fused × : cracked

It is important to implement a cleaning strategy for DPF to remove ash periodically

Courtesy of Ibbiden

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Diesel Particulate Filter

Maintenance of your DPF System:

Effects of Ash

- With engine usage inorganic based ash will gradually accumulate within the Diesel Particulate Filter and Diesel Oxidation Catalysts.
- The pressure drop across the DPF/DOC will increase until engine exhaust gas flow restriction exceeds engine manufacturer exhaust gas backpressure restriction recommendations.
- Excessive engine exhaust gas backpressure restriction will result in gradual degradation of diesel engine performance, increased fuel consumption, increased oil consumption, high oil temperatures, high coolant temperatures, etc....

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Diesel Particulate Filter

Maintenance of your DPF System:

Effects of Phosphorus in Ash

- Ash which contains higher levels of phosphorus may increase oxidation temperatures of DPM. This may result in incomplete and/or partial regeneration of DPF. This may also result in reduced pollutant oxidation (HC, CO, SOF) performance of DPF and DOC.
- Therefore diesel engines which consume higher amounts of engine lubrication oil could prove to be problematic. Higher engine exhaust gas temperatures will be required to achieve complete DPF regeneration.
- Excessive diesel engine oil consumption will result in deactivation and irreparable damage to precious metal catalysts which are coated onto DPF and DOC or are found in platinum based diesel fuel additives.

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Diesel Particulate Filter

Maintenance of your DPF System:

Minimizing Effects of Ash using Correct Crankcase Oils

Recommended Diesel Engine Crankcase Lubricating Oils:

- 1) CJ-4 – contains less than 1.0% wt. sulphated ash and less than 0.12% wt. phosphorus.
- 2) CI-4/CI-4+ – contains less than 1.5% wt. sulphated ash and less than 0.15%wt phosphorus.

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Diesel Particulate Filter

Maintenance of your DPF System:

Minimizing Effects of Ash by Minimizing Engine Oil Consumption

Ensure that the diesel engine is not consuming an inordinate amount of crankcase lubrication oil by:

- 1) analyzing engine crankcase oil on a periodic basis to determine possible engine faults.
- 2) measuring and record volume of engine crankcase oil consumed over unit engine hours.
- 3) ensuring that engine oil volume consumed is within Engine Manufacturer tolerances.
- 4) taking necessary maintenance and repair actions to minimize engine oil consumption.

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Diesel Particulate Filter

Maintenance of your DPF System:

DPF/DOC ash removal intervals:

- 1) for newer engines (Tier 1-3) using CJ-4 engine crankcase oil and ULSD which have minimal lubrication oil consumption rates, DPF ash removal procedures should be carried out every 1500-2000 engine operating hours or once every 12 months (whichever occurs first) or as required according to engine exhaust gas backpressure restriction levels.
- 2) For older engines (pre-Tier 1) using CJ-4 engine crankcase oil and ~500 ppm S which have higher lubrication oil consumption rates, DPF ash removal procedures should be carried out every 1000-1500 hours or once every 6 months (whichever occurs first) or as required according to engine exhaust gas backpressure restriction levels.
- 3) For diesel engines (pre and post Tier 1) using diesel fuel additives, ash collection rates will be higher and will vary according to additive dosage rates.

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Diesel Particulate Filter

Maintenance of your DPF System:

Oil Consumption and Engine Duty

Cycle/Age Variables

- light engine duty cycles may result in diesel engines which consume inordinate amount of engine crankcase oil.
- low engine speeds may result in diesel engines which consume inordinate amounts of engine crankcase oil.
- high hour diesel engines may consume inordinate amounts of engine crankcase oil.

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Diesel Particulate Filter

Maintenance of your DPF System:

Manual DPF/DOC Ash Removal Field

Procedure - Safety Equipment

- wear soil resistant clothing or disposable coveralls.
- leather gloves.
- 3M 5200 series respirator with 3M 6001 VOC filter and P100 particulate pre-filter/adaptor.
- safety glasses with safety face shield.
- perform cleaning operation in a well ventilated area with adequate lighting.
-

WORKSHOP

Diesel Particulate Filter

Maintenance of your DPF System:

Manual DPF/DOC Ash Removal Field

Procedure - Cleaning Equipment

- Compressed Air Source with adjustable pressure regulator, water separator and particle filter.
- rubber tipped air nozzle, extended tip air nozzle with flexible tube nozzle.
- Pottery Kiln with adequate internal dimensions and a programmable temperature/time/cycle controller.
- 6.5 hp+ Rigid industrial vacuum equipped with HEPA filter and vacuum hose/end cone adapters.
- Weigh scales and calibration weight.
- DPF/DOC cleaning cradle or equivalent.

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Diesel Particulate Filter

Maintenance of your DPF System:

DPF/DOC nozzle air pressure limits

- For catalyst coated DPF/DOC recommended cleaning nozzle air pressure should be in the range of 40 to 50 psi. Nozzle air pressure in excess of 50 psi may damage or remove wash-coat and catalyst from DPF/DOC substrate surfaces.
- For uncoated DPF recommended cleaning nozzle air pressure should be in the range of 80 to 100 psi.

WORKSHOP

Diesel Particulate Filter

Maintenance of your DPF System:

Manual DPF/DOC Ash Removal Procedure

Removal of DPF/DOC center body

- 1) Carefully support bottom of DPF/DOC with wooden blocking, etc... to prevent accidental drop damage.
- 2) Remove nuts from clamp bolts of V-band clamps which connect end cones to DPF/DOC. Use rubber mallet or dead blow hammer or prying tool to loosen V-band clamps. Avoid striking threaded bolt sections.
- 3) Separate DPF/DOC center body from the end cones.
- 4) Remove DPF/DOC center body from mining vehicle.
- 5) Place DPF/DOC on a flat surface and block DPF/DOC center body to prevent movement during cleaning procedure. Alternately use DPF/DOC cradle or steel angle mounting.
- 6) Remove end cone gaskets. Scrape old gasket material from end cone and center body flanges (if applicable).

WORKSHOP

Diesel Particulate Filter

Maintenance of your DPF System:

Pre-cleaning Inspection

- Check backpressure measurement system gauges, sensors, lines, filters, etc...
- With the DPF/DOC dismantled, check the condition of the end cones, end cone exhaust pipe clamps, end cone flange surfaces, test ports/plugs, end cone gasket surfaces, V-band clamps, V-band clamp bolts and nuts. Replace the end cone gaskets and replace/repair any other damaged components.
- Check the condition of DPF/DOC canning metal outer shell. Make note of any dents or other damage.
- Check the condition of the DPF/DOC end cone to center body flange surfaces. Check for corrosion, possible warp age and any other damage. If applicable sand the center body flange surfaces flat by using a small 4.5" diameter disc grinder with an 80 grit sanding disc.
- Check the DPF inlet and outlet face seals to make sure that they are not damaged, loose or missing.
- Check the DPF inlet and outlet faces for visible channel plug damage or pitting.
- Check the inlet face of the DPF/DOC inlet face for DPM plugging. Channel plugging indicates an excessive DPM loading.
- For segmented DPF check the condition of cemented seams.
- Check the DPF outlet face for DPM spotting. DPM spotting is a telltale sign of internal DPF damage.

WORKSHOP

Diesel Particulate Filter

Maintenance of your DPF System:

Manual DPF/DOC Ash Removal

Procedure Step 1

Initial Cleaning of DPF/DOC center body

- Using a weigh scale measure DPF/DOC center body weight only and record weight/model number/serial number of DPF/DOC.
- Install end cone and V-band clamp onto inlet end of DPF/DOC.
- Install vacuum cleaner hose onto inlet end cone.
- Using a fine tip sharpie marker or thin masking tape mark and divide the outlet face into quadrants.
- Working one quadrant at a time (using rubber tipped or flexible tube air nozzle) blow compressed air into each open channel of the DPF/DOC outlet face. Pulse the rubber tipped air nozzle on/off when directed into each individual channel as required to clear the channel of particulate matter and as required.
- Channels located at the periphery of DPF/DOC outlet face may require additional effort due to probable higher DPM/Ash mass.
- Channels located at the center of the DPF/DOC outlet face may require less effort due to probable lower DPM/Ash mass.
- After initial cleaning, remove inlet end cone and vacuum hose from inlet face and inspect inlet face with flashlight. If compacted DPM "straws" are observed emitting from channels repeat cleaning procedure. Concentrate air flow into channels where DPM "straws" are observed.
- The accumulated DPM mass must be removed from DPF/DOC center body to minimize potential of "runaway" uncontrolled regeneration during DPF/DOC heating cycle.
- Larger DPF/DOC may require extended cleaning efforts.
- Using weigh scale measure DPF/DOC center body weight after initial cleaning. Record weight/model number/serial number of DPF/DOC.

WORKSHOP

Diesel Particulate Filter

Maintenance of your DPF System:

Manual DPF/DOC Ash Removal

Procedure Step 2

Thermal Kiln Heating of DPF/DOC Center Body

- 1) Install 4 metal or ceramic spacers (2.0" high by 2.0" long) oriented to allow DPF/DOC center body to be situated above the kiln floor. This will allow upward air flow through the DPF/DOC substrate.
- 2) Install DPF/DOC center body into pottery kiln outlet face down.
- 3) Open air vent holes located in the kiln body.
- 4) Heating cycle should consist of a 2 to 4 hour minimum "ramp up" segment which will gradually raise the kiln temperature to approximately 650 C to 700 C maximum.
- 5) Ramp up segment to be followed by a "constant heat" segment where kiln temperature is held constant at approximately 650 C to 700 C degrees maximum for a 2 to 4 hour minimum time period.
- 6) Constant heat segment to be followed by "cooling" segment where kiln temperature is gradually reduced from 700 C maximum to ambient for a minimum 4+ hour time period. It is best to allow the DPF/DOC to fully cool down to ambient temperatures naturally in the kiln. Do not attempt to speed the cooling segment.
- 7) Remove DPF/DOC from kiln.
- 8) Using weigh scale measure DPF/DOC center body weight and record weight/model number/serial number of DPF/DOC.

WORKSHOP

Diesel Particulate Filter

Maintenance of your DPF System:

Manual DPF/DOC Ash Removal

Procedure Step 3

Final Cleaning of DPF/DOC center body

- 1) Install end cone and V-band clamp onto inlet end of DPF/DOC.
 - 2) Install vacuum cleaner hose onto inlet end cone.
 - 3) Using a fine tip sharpie marker or thin masking tape mark and divide the outlet face into quadrants.
 - 4) Working one quadrant at a time (using rubber tipped or flexible tube air nozzle) blow compressed air into each open channel of the DPF/DOC outlet face. Pulse the rubber tipped air nozzle on/off when directed into each individual channel as required to clear the channel of particulate matter and as required.
 - 5) Channels located at the periphery of DPF/DOC outlet face may require additional effort due to probable higher DPM/Ash mass.
 - 6) Channels located at the center of the DPF/DOC outlet face may require less effort due to probable lower DPM/Ash mass.
 - 7) After initial cleaning, remove inlet end cone and vacuum hose from inlet face and inspect inlet face with flashlight. If DPM "straws" are observed emitting from channels repeat cleaning procedure. Concentrate air flow into channels where DPM "straws" are observed.
 - 8) The accumulated DPM mass must be removed from DPF/DOC center body to minimize potential of "runaway" uncontrolled regeneration during DPF/DOC heating cycle.
 - 9) Larger DPF/DOC may require extended cleaning efforts.
 - 10) Using weigh scale measure DPF/DOC center body weight after initial cleaning. Record weight/model number/serial number of DPF/DOC.

WORKSHOP

Diesel Particulate Filter

Maintenance of your DPF System:

Post-cleaning DPF/DOC Inspection Tools

- 0.060" and .045" probing rods for 100 cell and 200 cell DPF densities.
- High intensity spot light (15,000,000 candlepower +).
- Bore scope with flexible .045" probe.
- Contract X-ray inspection services.
- Contract ultra sound inspection services.
- Portable CO analyzer (0 – 2000 ppm)

WORKSHOP

Diesel Particulate Filter

Maintenance of your DPF System:

Post-cleaning DPF Inspection Methods

- if DPM spotting on the outlet face was found earlier during pre-cleaning inspection, the DPF should be internally inspected. DPM spotting is indicative of internal DPF damage. Low engine exhaust gas restriction, visible PM on exhaust tailpipe and poor engine performance are other telltale signs.
- gently use the .060"/.040" probing rods in the area of DPM spotting. The probing rod should be able to easily slide the entire depth of the filter inlet/outlet channel without restriction.
- place a high intensity spotlight in close proximity to the filter inlet/outlet face. Visually inspect the opposite face for any leakage of bright light indicative of channel damage.
- alternately the DPF core may have to be inspected by use of bore scopes, endoscopes, x-ray and airflow testing to determine channel damage.
- measurement of CO % reduction efficiencies on a periodic basis (in the case of catalyzed DPF) will determine condition of precious metal coating.

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Mining Diesel Emissions Council

October 2nd, 2007

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Diesel Particulate Filter

Discussion

- Questions
- Comments
- Suggestions